Valery Vodovozov
Raik Jansikene

POWER ELECTRONIC CONVERTERS
# Contents

Designations............................................................................................................................4  
Abbreviations............................................................................................................................4  
Preface........................................................................................................................................4  
Introduction................................................................................................................................5  
Part 1. Rectifiers.......................................................................................................................11  
1.1. Common Features of Rectifiers.......................................................................................11  
1.2. Single-Phase Half-Wave Rectifiers................................................................................16  
1.3. Single-Phase Full-Wave Rectifiers..................................................................................18  
1.4. Single-Phase Bridge Rectifiers.......................................................................................20  
1.5. Three-Phase Full-Wave Rectifiers..................................................................................22  
1.6. Three-Phase Bridge Rectifiers.......................................................................................27  
Part 2. Inverters........................................................................................................................31  
2.1. Common Features of Inverters.......................................................................................31  
2.2. Voltage Source Inverters...............................................................................................35  
2.3. Current Source Inverters...............................................................................................43  
2.4. Resonant Inverters........................................................................................................46  
Part 3. AC/AC Converters.........................................................................................................49  
3.1. AC Voltage Regulators.................................................................................................49  
3.2. Direct Frequency Converters.......................................................................................51  
3.3. DC Link Converters........................................................................................................57  
Part 4. DC/DC Converters.......................................................................................................60  
4.1. DC Voltage Regulators.................................................................................................60  
4.2. Step-Down Choppers.....................................................................................................63  
4.3. Step-Up Choppers..........................................................................................................70  
4.4. Universal Choppers........................................................................................................73  
Part 5. Utility Circuits..............................................................................................................76  
5.1. Snubbers and Clamps......................................................................................................76  
5.2. Gate and Base Drivers....................................................................................................80  
5.3. Electromagnetic Compatibility......................................................................................85  
Part 6. Experiments Using Electronics Workbench.................................................................90  
6.1. Objective.........................................................................................................................90  
6.2. Single-Phase Half-Wave Rectifiers................................................................................91  
6.3. Single-Phase Full-Wave Rectifiers................................................................................93  
6.4. Three-Phase Rectifiers.................................................................................................96  
6.5. AC Converters................................................................................................................97  
6.6. Choppers........................................................................................................................100  
Part 7. Questions.....................................................................................................................105  
7.1. Test on Power Electronic Devices..................................................................................105  
7.1. Test on Rectifiers..........................................................................................................106  
7.2. Test on Inverters..........................................................................................................107  
7.3. Test on AC/AC Converters............................................................................................108  
7.4. Test on DC/DC Converters...........................................................................................109  
7.5. Test on Utility Circuits.................................................................................................110  
Index.......................................................................................................................................111  
Standards................................................................................................................................113  
References...............................................................................................................................115
### Designations

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>C</td>
<td>capacitor</td>
</tr>
<tr>
<td>D</td>
<td>diode, thyristor</td>
</tr>
<tr>
<td>L</td>
<td>inductor, choke</td>
</tr>
<tr>
<td>R</td>
<td>resistor</td>
</tr>
<tr>
<td>T</td>
<td>transistor</td>
</tr>
<tr>
<td>Z</td>
<td>load</td>
</tr>
<tr>
<td>w</td>
<td>number of coils</td>
</tr>
<tr>
<td>C</td>
<td>capacitance</td>
</tr>
<tr>
<td>cos φ</td>
<td>power factor</td>
</tr>
<tr>
<td>f</td>
<td>frequency</td>
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### Abbreviations

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>A</td>
<td>Ampere</td>
</tr>
<tr>
<td>ac</td>
<td>alternating current</td>
</tr>
<tr>
<td>B2</td>
<td>single-phase bridge rectifier</td>
</tr>
<tr>
<td>B6</td>
<td>three-phase bridge rectifier</td>
</tr>
<tr>
<td>BJT</td>
<td>bipolar junction transistor</td>
</tr>
<tr>
<td>CSI</td>
<td>current source inverter</td>
</tr>
<tr>
<td>dc</td>
<td>direct current</td>
</tr>
<tr>
<td>EMC</td>
<td>electromagnetic compatibility</td>
</tr>
<tr>
<td>EMI</td>
<td>electromagnetic interference</td>
</tr>
<tr>
<td>F</td>
<td>Farad</td>
</tr>
<tr>
<td>FET</td>
<td>field-effect transistor</td>
</tr>
<tr>
<td>G</td>
<td>Giga = 10^9 (prefix)</td>
</tr>
<tr>
<td>GTO</td>
<td>gate turn-off thyristor</td>
</tr>
<tr>
<td>H</td>
<td>Henry</td>
</tr>
<tr>
<td>HF</td>
<td>high frequency</td>
</tr>
<tr>
<td>Hz</td>
<td>Hertz</td>
</tr>
<tr>
<td>IGBT</td>
<td>insulated gate bipolar transistor</td>
</tr>
<tr>
<td>JFET</td>
<td>junction FET</td>
</tr>
<tr>
<td>k</td>
<td>kilo = 10^3 (prefix)</td>
</tr>
<tr>
<td>LF</td>
<td>low frequency</td>
</tr>
<tr>
<td>M</td>
<td>Mega = 10^6 (prefix)</td>
</tr>
<tr>
<td>m</td>
<td>milli = 10^{-3} (prefix)</td>
</tr>
<tr>
<td>M1</td>
<td>single-phase half-period rectifier</td>
</tr>
<tr>
<td>M2</td>
<td>single-phase midpoint rectifier</td>
</tr>
<tr>
<td>M3</td>
<td>three-phase midpoint rectifier</td>
</tr>
<tr>
<td>MOSFET</td>
<td>metal-oxide semiconductor FET</td>
</tr>
<tr>
<td>MCT</td>
<td>MOS-controlled thyristor</td>
</tr>
<tr>
<td>n</td>
<td>nano = 10^{-9} (prefix)</td>
</tr>
<tr>
<td>n</td>
<td>negative</td>
</tr>
<tr>
<td>p</td>
<td>pico = 10^{-12} (prefix)</td>
</tr>
<tr>
<td>p</td>
<td>positive</td>
</tr>
<tr>
<td>PIV</td>
<td>peak inverse voltage</td>
</tr>
<tr>
<td>PWM</td>
<td>pulse-width modulation</td>
</tr>
<tr>
<td>RFI</td>
<td>radio frequency interference</td>
</tr>
<tr>
<td>rms</td>
<td>root mean square</td>
</tr>
<tr>
<td>s</td>
<td>second</td>
</tr>
<tr>
<td>SCR</td>
<td>silicon-controlled rectifier</td>
</tr>
<tr>
<td>V</td>
<td>Volt</td>
</tr>
<tr>
<td>VSI</td>
<td>voltage source inverter</td>
</tr>
<tr>
<td>W</td>
<td>Watt</td>
</tr>
<tr>
<td>WA</td>
<td>Volt-Ampere</td>
</tr>
<tr>
<td>ZCS</td>
<td>zero-current switch</td>
</tr>
<tr>
<td>ZVS</td>
<td>zero-voltage switch</td>
</tr>
<tr>
<td>μ</td>
<td>micro = 10^{-6} (prefix)</td>
</tr>
<tr>
<td>Ω</td>
<td>Ohm</td>
</tr>
</tbody>
</table>
The goal of this book is to introduce a reader to the basics of power electronic converters. The emphasis is on the day-to-day electronic products. The course covers the features and functions of rectifiers, inverters, ac/ac, and dc/dc converters. It provides a wealth of technical information for students and practicing electrical engineers and contributes to learning the design of circuits and equipment based on electronic components.

The book is recommended for the course of introduction to power electronics. The following problems are discussed: principles of electrical energy conversion; features of power electronic components; design and characteristics of power semiconductor converters. The background of the course is “Electronics and Semiconductor Engineering”.

Following this course, students may concentrate on the advanced topics in power electronics. Usually, they need the theory that will offer an insight into the general operation of converter loading as well as the disturbances caused by variables, and the possibilities for reducing these errors, partly in power devices with different kinds of loads. Such problems as the design and methods for the implementation of control equipment are not discussed in detail. Computer simulation instruments, modeling circuits, and analysis tools should be a subject of further interest for future engineers as well. Further electronics concerns the theory of generalized energy converter; control and protection of power electronic circuits; problems of electromagnetic compatibility; selection of power electronic components for converters; control algorithms, programs, and microprocessor control devices of power electronic converters; cooling of power converters; power electronic system design.

The authors thank the staff of the Department of Electrical Drives and Power Electronics from Tallinn University of Technology for sharing their research and experimental experience and for helpful recommendations. Particular gratitude is due to Juhan Laugis, Tõnu Lehtla, Madis Lehtla, and Jury Joller whose materials have been used in the book. We strongly recommend their tutorials that provide detailed coverage of the field.

It is obvious that a wide coverage, such as presented in this book, may lead to any kind of imperfections. Thus, your commentary and criticisms are appreciated: edrive@narod.ru.

Authors
Introduction

**Historical background.** The earliest studied in the field of power electronics date back to the end of the 19th century. In 1882, French physicist J. Jasmin discovered a phenomenon of semiconductance and proposed this effect to be used for rectifying alternating current instead of mechanical switches. In 1892, German researcher L. Arons invented the first mercury arc vacuum valve. P.C. Hewitt developed the first arc valve in 1901 in USA and a year later he patented the mercury rectifier. In 1906, J.A. Fleming has invented the first vacuum diode, American electrician G.W. Pickard invented the silicon valve, and L. Forest patented the vacuum tube. The development of electronic amplifiers started with this invention and in 1907 a vacuum triode was proposed by L. Forest. Later, on the basis of the same principles different kinds of electronic devices were worked out. A key to the technology was the invention of the feedback amplifier by H.S. Black in 1927. In 1921, F.W. Meyer from Germany first formulated the main principles and trends of power electronics.

In the first half of the 20th century, electronic equipment was mainly based on vacuum tubes, such as gas-discharge valves, thyratrons, mercury arc rectifiers, and ignitrons. Until the end of the 1920s, vacuum diodes (kenotrones) were the main devices. In the 1930s, they were replaced by more efficient mercury equipment. The majority of valves were arranged as coaxial closed cylinders round the cathode. More complex valves contained several gridded electrodes between the cathode and anode. This is how the triode, tetrode, and pentode valves were designed.

The vacuum tube had a set of disadvantages. First, it had an internal power heater. Second, its life was limited by a few thousand hours before its filament burns out. Third, it was bulky. Fourth, it gave off heat that raised the internal temperature of the electronics equipment. Because of vacuum tube technology, the first electronic devices were very expensive and dissipated a great deal of power.

The era of semiconductor devices began in 1947, when American scientists J. Bardeen, W.H. Brattain, and W.B. Shockley from “Bell Labs” invented a germanium transistor. Later they were awarded a Nobel Prize for this invention. The advantages of a transistor overcome the disadvantages of the vacuum tube. From 1952, “General Electric” manufactured the first germanium diodes. In 1954, G. Teal at “Texas Instruments” produced a silicon transistor, which gained wide commercial acceptance because of the increased temperature performance and reliability. During the mid 1950s through to the early 1960s, electronic circuit designs began to migrate from vacuum tubes to transistors, thereby opening up many new possibilities in research and development projects.

The invention of the integrated circuit by J. Kilby from “Texas Instruments” in 1958 followed by the planar process of “Fairchild Semiconductor” in 1959 that became the key of solid-state electronics.
Before the 1960s, semiconductor engineering was regarded as part of low-current and low-voltage electronic engineering. The currents used in solid-state devices were below one ampere and voltages only a few tens of volts. The period of power semiconductors began in 1956, when the silicon-based thyristors were invented by an American research team led by J. Moll. Based on these inventions, several generations of semiconductor devices have been worked out. The time of 1956 – 1975 can be considered as the era of the first generation power devices. During the years of second-generation power devices from 1975 till 1990, the metal-oxide semiconductor field-effect transistors, bipolar npn and pnp transistors, junction transistors, and gate turn-off thyristors were developed. Later the microprocessors, specified integral circuits, and power integral circuits were produced. In the 1990s, the insulated gate bipolar transistor was established as the power switch of the third generation. A new trend in electronics brought intelligent power devices and intelligent power modules.

Today, power electronics is a rapidly expanding field in electrical engineering and a scope of the technology covers a wide spectrum of electronic converters. Different kinds of power supplies are used everywhere in normal daily routines both at home, office work or in an industrial environment. This is due to the progress in electronic components and equipment development that has been achieved in the last few decades. Electronic and electrical apparatus are everywhere, and all these devices need electrical power to work. Most of electronic supplies are switching semiconductor converters thanks to the efficiency, size, capability to operate at various current and voltage levels, control features and price compared to the linear power supply.

**Power electronic system.** Any technical system is an assembly of components that are connected together to form a functioning machine or an operational procedure. A power electronic system assembles general building blocks:

- AC/DC converters – rectifiers that convert ac to dc with adjustment of voltage and current;
- DC/AC converters – inverters that produce ac of controllable magnitude and frequency, particularly with galvanic isolation via a transformer;
- AC/AC converters – ac frequency, phase, magnitude, and power converters particularly with an intermediary dc link;
- DC/DC converters – linear regulators and switching choppers.

In turn, each block consists of the primary electronic elements that are: resistors, capacitors, transformers, inductors (choke coils), frames, etc., and basic classes of semiconductor devices:

- diodes, including Zener, optoelectronic and Schottky diodes, and diacs;
- thyristors, particularly silicon-controlled rectifiers (SCR), triacs, gate turn-off (GTO), and MOS-controlled thyristors (MCT);
- transistors, such as bipolar junction (BJT), field-effect (FET), and insulated gate bipolar (IGBT) transistors.

They are joined to control the load operation. A comparative diagram of power rating and switching speed of semiconductor electronic devices is given in Fig. I.1.
Diodes. Diodes (Fig. I.2, a) are the main building blocks of rectifiers, rectifier sections of AC/AC and DC/DC converters, freewheeling paths of converters, and different control electronic systems. That is why a diode is the most commonly used electronic device in the modern power electronic systems.

The rectifier diode has a smaller voltage drop in the forward-bias state as compared to the operating voltages and very small leakage current in the reverse-bias state. The power diode’s forward bias characteristic is approximately linear, which means that the voltage drop is proportional to the ohmic resistance and current. The maximum current in the forward bias depends on the \( pn \) junction area. Today, the rated currents of power diodes are thousands of amperes.

At turn on, the diode can be considered as an ideal switch because it opens rapidly compared to transients in the circuit. In most of circuits, the leakage current does not have a significant effect on the circuit and thus the diode can also be considered as switch.
In the case of reverse-biased voltage, only a small leakage current flows through the diode. This current is independent of the reverse voltage until the breakdown voltage is reached. After that, the diode voltage remains essentially constant while the current increases dramatically. Only the resistance of the external circuit limits the maximum value of the current. Simultaneous large current and large voltage in the breakdown operation leads to excessive power dissipation that could quickly destroy the diode. Therefore, the breakdown operation of the diode must be avoided.

A bi-directional diode that can be triggered into conduction by reaching a specific voltage value is known as a diac.

**Thyristors.** Rectifier thyristors (Fig. I.2, b) or silicon-controlled rectifiers (SCR) are commonly used in adjustable ac rectifier circuits, especially in high power units up to 100 MVA. Their frequency capabilities are not high, being lower than 10 kHz.

If positive voltage is applied without gate current, the thyristor constitutes the state of forward blocking. A low power pulse of gate current should effect the switching to the on state. From now, the output characteristic of a thyristor in the forward bias is very similar to the same curve of the diode with a small leakage current. Thus, the thyristor assumes very low resistance in the forward direction. Ones turned on and if the current is higher than the holding current, the thyristor remains in this state after the end of the gate pulse.

If the current attempts to decrease to less than the holding current, the device switches back to the non-conducting region. Turning off by gate pulse is impossible. The thyristor turns off when the anode current drops under the value of the holding current. Thus, using the same arguments as for diodes, the thyristor can be represented by the idealized switch.

When a thyristor is supplying by ac, the moment of a thyristor opening should be adjusted by shifting the control pulse relative to the starting point of the positive alternation of anode voltage. This delay is called the firing angle $\alpha$.

The output characteristic of SCR in the reverse bias is very similar to the same curve of the diode with a small leakage current. With negative voltage between anode and cathode, this corresponds to the reverse blocking state. When the maximum reverse voltage is exceed, the leakage current rises rapidly, as with diodes, leading to breakdown and thermal destruction of the thyristor.

A triac (bi-directional thyristor) is identified as a three-electrode ac semiconductor switch that switches conduction on and off during each alternation. It is the equivalent of two reverse-parallel-connected thyristors with one common gate.

Besides the rectifier thyristors, the gate turn-off thyristors (GTO) are produced (Fig. I.2, c). These devices have two adjustable operations: they can be turned on or off by the current gate pulse. The GTO thyristor switches on as the SCR thyristors, i.e. after the current pulse
will be applied to the gate electrode. For turning off, a powerful negative current control pulse must be applied to the gate electrode.

A switching frequency range of a GTO thyristor is a few hundred hertz to tens kilohertz. Their on-state voltage (2 – 3V) is higher than that of SCR. Because of their capability of handling large voltages (up to 5 kV) and large currents (up to a few kiloamperes at 10 MVA), the GTO thyristors are more convenient to use than the SCR thyristors in applications where high price and high power are allowed.

The MOS-controlled thyristor (MCT) has many of the properties of a GTO thyristor, including a low voltage drop at high currents. But it is a voltage-controlled device. Here, turn on is controlled by applying a positive voltage signal to the gate, and turn off by a negative voltage. Therefore, the MCT has two principle advantages over the GTO, including much simpler drive requirements (voltage instead of current) and faster switching speeds (few microseconds). Its available voltage rating is 1500 – 3000 V and currents of hundreds amperes. The last is less than those of GTO.

Transistors. The operation of a bipolar junction transistor (BJT) (Fig. I.2, d) is described by the output characteristic that has three distinct operating regions. When a BJT is used as an amplifier, the transistor operates in the active region. Another region of operation is the breakdown region. The transistor should never operate in this region because it very likely will be destroyed. The rising part of the output curve, where voltage is between 0 and approximately 1 V is called the saturation region. Here, the resistance of the device is very low and it is opened fully. When it is used in digital and switching circuits, the transistor commonly operates in this region during the long time.

The main advantages of a power BJT are: high power handling capabilities, up to 100 kVA, 1500 V, 500 A and sufficiently low forward conduction voltage drop. The main disadvantages of BJT are: relatively slow switching times and inferior safe operating area. Thus, the overvoltage protection and complex current controller gate are required.

In contrast to a BJT, junction field-effect transistors (JFET) (Fig. I.2, e) have some advantages. Due to voltage adjustment, their control circuit is simple and their control power is low. Because a JFET is an electron majority carrier device, its switching transients' speed grows essentially. For the same reason, its on-state resistance has a positive temperature coefficient that is the resistance rises with the temperature rise. Accordingly, the current falls with the load and the parallel connection of such devices is not the problem. Thanks to the absence of the second breakdown, the safe operating area is large therefore the overvoltage protection is not needed.

Nevertheless, due to the high transistor's resistance of the current flow, the efficiency of a JFET is not high when a number of transistors are parallel connected and the additional losses between the source and the drain complicate the control processes.

MOSFET (Fig. I.2, f, g) are another voltage-controlled metal-oxide semiconductor field-effect transistors. Unlike a JFET, their metallic gates are electrically insulated from the channel
therefore the input resistance is even higher than that of a JFET. The advantages of the MOSFETs are: high switching capability that is the operational frequencies up to 1 GHz; simple protection circuits and voltage control; normally off device if the enhancement-mode MOSFET is used; and easy paralleling for increasing current-handling capability.

The drawbacks of the MOSFET are: relatively low power handling capabilities, less than 10 kVA, 1000 V, and 200 A; and relatively high (more than 2 V) forward voltage drop, which results in higher losses than in BJT.

The BJT and MOSFET have technical parameters and characteristics that complement each other. BJTs have lower conduction losses in the on state, especially at larger blocking voltages, but they have longer switching times. MOSFETs are much faster, but their on-state conduction losses are higher. Therefore, attempts were made to combine these two types of transistors on the same silicon wafer to achieve better technical features. These investigations resulted in the development of the insulated gate bipolar transistor (IGBT), which is becoming the device of choice in most new power applications (Fig. 1.2, h).

IGBT have the highest power capabilities up to 1700 kVA, 2000 V, 800 A. Because of the lower resistance than the MOSFET the heating losses of the IGBT are lower too. Their forward voltage drop is 2 – 3 V, that is higher than that of a bipolar transistor but lower than the MOSFET has. Due to the negative temperature coefficient, when a temperature is raised, the power and heating decrease therefore the device withstands the overloading and operates in parallel well. The reliability of the IGBT is higher than that of the FET thanks to the absence of a secondary breakdown. They have relatively simple voltage controlled gate driver and low gate current. Unfortunately, IGBTs are not suitable for high frequency supply sources.
Part 1. Rectifiers

1.1. Common Features of Rectifiers

**Types of rectifiers.** Alternating current is the most abundant source of electrical energy delivered to industrial and domestic facilities. It must, therefore, be changed to a usable form of dc. The process of transferring ac to dc is called *rectification.* In Fig. 1.1 a rectification circuit is shown. *Rectifiers* are units designed to convert ac to dc. For this purpose, devices with asymmetrical conductance such as semiconductor diodes and thyristors are used. The systems built on diodes are called *uncontrolled rectifiers,* and those built on thyristors are called *controlled rectifiers* because their dc output can be controlled.

![Fig. 1.1](image)

The rectification process is quite varied and can be used for many applications depending on the system. There are different types of rectifying circuits:

- midpoint (M) and *bridge* (B) rectifiers,
- single-phase (M1, M2, B2) and three-phase (M3, B6) rectifiers,
- half-wave (M1) and full-wave (M2, B2, M3, B6) rectifiers.

They differ by the shape of dc signal, ripples, and *efficiency* that is, rms, average, and amplitude values of voltage, current, and power. Rectifiers are broadly used in different kinds of power converter applications. Their power range is very wide, from milliwatts to megawatts. Small power range devices operate usually from a single-phase supply while high-power rectifiers are mainly used in a three-phase configuration.

**Transformers.** The supply voltage is commonly too high for most of the devices used in electronics equipment; therefore a *transformer* is used in almost all applications. As shown in Fig. 1.1, the transformer steps the rms supply voltage $U_1$ down to lower levels $U_2$ that are more suitable for use. The supply coil is called the primary winding and the load coil is called the secondary winding. The number of turns on the primary winding is $w_1$, and the number of turns on the secondary winding is $w_2$.

The turns are wrapped on a common core. For low frequency use, a massive core made of transformer steel alloy must be used. Transformers that are used only for higher audio frequencies can make use of considerably smaller cores. At radio frequencies, the losses caused by transformer steels make such materials unacceptable and ferrite materials are used as cores. For the highest frequencies, no form of core material is suitable and only self-
supporting, air-cored coils, usually of thick silver-plated wire, can be used. In the higher ultra
high frequency bands, inductors can consist of straight wire or metal strips because high
frequency signals flow mainly along the outer surfaces of conductors.

Since the coefficient of coupling of the transformer approaches one, almost all of the flux
produced by the primary winding cuts through the secondary winding. The voltage induced
in the secondary winding is given by:

\[ U_2 = U_1 \frac{w_2}{w_1}, \]

therefore

\[ I_2 = I_1 \frac{w_1}{w_2}. \]

In a step-down transformer, the turns ratio \( \frac{w_2}{w_1} \) is less than one. Consequently for a step-
down transformer, the voltage is stepped down but the current is stepped up. The output ap-
parent power of a transformer \( S_2 \) almost equals the input power \( S_1 \) or:

\[ U_2 I_2 = U_1 I_1. \]

The rated power of the transformer \( S \) is the arithmetic mean of the secondary and primary
power.

**Rectifier data.** The average rectified load voltage \( U_d \) and current \( I_d \) are pulsating dc signals
as shown in Fig. 1.1. A period of this signal \( T \) depends on the number of rectifier devices
and type of rectifying circuit. Since output wave has the ripple, the ripple factor of the output
waveform is usually determined by

\[ r = \frac{U_r}{(2U_d)} \]

where \( U_r \) is the peak-to-peak ripple voltage. Another index is the percentage of ripple that
can be determined by:

\[ r\% = 100r. \]

A rectifier usually has one of the three types of load: resistive load, also called an active
load, resistive-inductive load (reactive load), or resistive-capacitive load with or without
counter-electromotive force. With a resistive load, the dc current’s waveform matches the
voltage shape. With the inductive load, the output may be different and the output voltage
sometimes contains pulses from negative half waves of the voltage. A counter-electromotive
force is a typical load of electric drives.

The peak inverse voltage (PIV) of each rectifier device depends on the circuit type.

The power factor of a rectifier is defined as the ratio of the real average load \( P_d \) power to the
product of the rms voltage and the rms current:

\[ \cos \phi = \frac{P_d}{S} \]

where \( S \) is the transformer rated power, and \( \phi \) is a phase displacement angle of current rela-
tive to voltage. For the given voltage, the rms current drawn is

\[ I_s = \frac{P_d}{(U_s \cos \phi)}. \]
This shows that the power factor and the current are inversely proportional. The current flows through the utility distribution and transmission lines, transformer, and so on, causing losses in their resistances. Losses increase, possibly to the point of overloading. As a result, harmonic currents may cause resonance conditions in transmission lines. The supply voltage waveform will also be distorted, adversely affecting other linear loads, if a significant portion of the load supplied by the consumer draws power by mean of distorted currents. This is the reason why consumers prefer unity power factor that draws power at the minimum value of the rms current.

In the table below, the main data of different non-controlled rectifier circuits with a resistive load are given.

<table>
<thead>
<tr>
<th>Circuit type</th>
<th>Transformer</th>
<th>Diode</th>
<th>$r$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$U_d / I_d$</td>
<td>$I_d / I_d$</td>
<td>$S_1 / P_d$</td>
<td>$S_2 / P_d$</td>
</tr>
<tr>
<td>M1</td>
<td>2.22</td>
<td>1.57</td>
<td>2.69</td>
</tr>
<tr>
<td>M2</td>
<td>1.11</td>
<td>0.71</td>
<td>1.11</td>
</tr>
<tr>
<td>B2</td>
<td>1.11</td>
<td>1.00</td>
<td>1.11</td>
</tr>
<tr>
<td>M3</td>
<td>0.85</td>
<td>0.58</td>
<td>1.22</td>
</tr>
<tr>
<td>B6</td>
<td>0.42</td>
<td>0.82</td>
<td>1.05</td>
</tr>
</tbody>
</table>

The main feature of a controlled rectifier is its control characteristic known as the regulation curve: $U_d = f(U_{d0}, \alpha)$ where $U_{d0}$ is an average rectified voltage of a non-controlled rectifier, and $\alpha$ is the firing angle of a thyristor.

**Multi-quadrant operation.** The simplest rectifier provides a single-quadrant operation, supplying the load by the positive current under the positive voltage. With a fully controlled rectifier, the average dc-side voltage should be controlled from a positive maximum to a negative minimum value. It is so name two-quadrant operation. In some applications, the system must be capable of a four-quadrant operation with dual rectifiers. This is accomplished by connecting two two-quadrant rectifiers in anti-parallel (back-to-back) as shown in Fig. 1.2. Rectifier 1 conducts when the load current is required to be positive, and rectifier 2 does when it is required to be negative.

![Fig. 1.2](image-url)
There are two common forms of dual rectifiers. In the first, both rectifiers are controlled simultaneously to give the same mean output voltage. This is the *dual rectifier with circulating current*. However, the instantaneous voltage from both devices cannot be identical, and reactors are to be included to limit the current circulating between them. The principal advantage of this system is that when the current is required to change direction, there need be no delay between the conduction of one rectifier and the other.

In the *circulating-current-free dual rectifier*, only one device at time is allowed to conduct. The cost and losses associated with the reactors can be eliminated, and economies can also be made in the control circuits. However, the penalty is a short time delay, as the current passes through zero, while the thyristors in one device safely turn off before those in the second open. This delay introduces a current-free period of typically near 10 ms. This circuit is by far the most common industrial four-quadrant dc system and is used in many demanding applications where rapid control is required.

**Output characteristics.** The practical output characteristics (load curves) of a controlled rectifier, shown in Fig. 1.3, are the relation of the rectified voltage $U_d$ versus the rectified current $I_d$. They demonstrate that the higher the output current the lower is the output voltage. It is described by:

$$U_d = U_0 - (\Sigma U_{AC} + I_2 R_2 + I_d R_s)$$

where $U_0$ is the rectified voltage when $I_d = 0$ (the infinite load of a rectifier), $\Sigma U_{AC}$ is the average voltage drop of rectifier diodes or thyristors, $I_2 R_2$ is the average voltage drop of the transformer, and $I_d R_s$ is the average voltage drop per phase.

![Fig. 1.3](image-url)
A rectifier can maintain a continuous current operation or a discontinuous current operation. The mode of operation depends on the load, the rectifier circuit, and the control method. On the continuous current operation, the output current is smoothed by load circuit inductance, that is, the output signal has no breaks. On the discontinuous current operation, the current waveform consists of separate pulses the length of which depends on the inductance of the load circuit and the type of the rectifier. The ellipsoidal line on the output characteristics shows the discontinuous current boundary. The discontinuous current occurs to the left of this line and the continuous current occurs to the right. Consequently, the characteristics in the continuous current region are linear, exhibiting only a slight droop. In contrast, in the discontinuous current region the curves are strongly nonlinear with the loss in output voltage. The discontinuous current boundary equation seems as follows:

$$I_{db} = U_0 \cdot \sin \alpha / (\omega L) \cdot (1 + \pi / m \cdot \cot(\pi / m))$$

where $m$ is the number of pulses in the rectified voltage, $L$ is the inductance of the rectified loop, $\omega = 2\pi f$ is an angular frequency, and $f$ is the rectified ripple frequency.

In accordance with the mode of operation, different rectifier circuits provide various output characteristics. They may be single-quadrant, two-quadrant, or four-quadrant as shown in Fig. 1.3, b, c, d. In the first case, the load voltages and currents are unipolar. In the second one, the load voltage may change the sign under the constant current direction. In the third system, both the load voltage and the load current are bi-directional.

Summary. There are no power electronic systems without power or low-signal rectifiers in their structure. A great number of rectifier circuits work in different electronic devices. It is remarkable that harmonics generated by rectifiers fall into the frequency spectrum up to about 3 kHz and are conducted back into the power system. They produce a continuous distortion of the normal sinusoidal current waveform. The distortion frequencies are multiplies of the fundamental frequency 50 Hz as shown in Fig. 1.4.

![Fig. 1.4](image_url)

When the pulse number of rectifiers ($m$) grows, the frequencies of high order harmonics increase simultaneously and their relative magnitude decreases. Therefore, the trace of the input current becomes more sinusoidal, and the output current is smoothed better. On the contrary, when the firing angle of the rectifier grows, harmonic frequencies and their magnitude do not change, but the phase shift of harmonics increases relative to the supply voltage. This effect causes the consumption of reactive power from the supply lines with the power factor decreasing.
1.2. Single-Phase Half-Wave Rectifiers

Diode rectifier with an active load. A single-phase half-wave rectifier circuit (M1 rectifier) is presented in Fig. 1.5, a. The input and resulting output voltage waveforms of the half-wave rectifier circuit are shown in Fig. 1.5, b. If we assume that during the positive alternation of the ac sinusoidal wave the anode of the diode D is positive and the cathode is negative, the diode will conduct since it is forward biased. The positive alternation of the ac will then appear across the load Z. During the negative alternation of the ac cycle, the anode is made negative and the cathode is positive. The diode is reversed biased by this voltage and no significant current will flow through the load. Therefore, no voltage will appear across the load.

Such type of the output waveform is called a half-wave signal because the negative half cycles have been clipped off or removed. Since the load voltage has only a positive half cycle, the load current is unidirectional and discontinuous, meaning that it flows in only one direction and has breaks.

![Diode rectifier circuit](image)

The average value of one pulse of the dc output that a voltmeter reads is

\[ U_d = \frac{\sqrt{2} U_s}{\pi} \]

i.e., 0.318 of the peak value of ac voltage \( U_{\text{max}} \). The PIV of a diode should be \( \pi \) times larger than the average dc voltage developed. In this circuit,

\[ U_r = \frac{U_s}{\sqrt{2}} , \]
so the ripple factor of the output waveform is \( r = \frac{U_r}{2U_d} = 1.57 \).

**Diode rectifiers with inductive load.** In the case of resistive-inductive load, \( U_d \) goes negative, and ac line current (the same as \( I_d \)) is out of phase with the voltage (Fig. 1.6, a). When inductance aspires to infinity, the current waveform distorts and becomes almost rectangle.

Addition of a freewheeling diode \( D_1 \), shown in Fig. 1.6, b, permits the load current curve to be continuous and prevents \( U_d \) from going negative (Fig. 1.6, c). When \( D \) is off, \( D_1 \) allows the current flow of the load inductor. If the inductance is large enough, \( I_d \) never decays to zero. This operating condition is known as continuous conduction. Diodes perform identical functions in other circuits where they are called a bypass diode, a flyback diode, or a catch diode.

**Thyristor rectifier.** A single-phase half-period rectifier built on a thyristor is displayed in Fig. 1.7, a. Here, the value of the rectified voltage on the load depends on the firing angle \( \alpha \) (Fig. 1.7, b):

\[
U_d = \frac{U_{\text{max}}}{2\pi} (1 + \cos \alpha).
\]

The control curve, corresponding this equation, is given in Fig. 1.7, c. The firing angle is measured from the point of the sine waveform when the positive anode voltage appears on the thyristor.

With a resistive load, the waveform of the current matches the voltage shape. With the resistive-inductive load, the thyristor remains open on the negative anode voltage until the current
through the thyristor decreases to zero. Thus, the output voltage can contain pulses from negative half waves of the voltage.

**Summary.** The main advantage of the single-phase half-period rectifier is its simplicity. Nevertheless, it is rarely used in practice because:

- this circuit has the low use of a transformer due to the poor secondary current shape;
- the use of a diode is also inappropriate, that is, PIV significantly excels $U_d$;
- quality of the rectified voltage is low because of very high ripples and very low power factor.

### 1.3. Single-Phase Full-Wave Rectifiers

**Center-tapped transformer.** A two-diode *single-phase full-wave rectifier* (*midpoint rectifier* or M2 rectifier) is a parallel connection of two half-wave rectifiers. This system, shown in Fig. 1.8, produces a rectified rippled output voltage for each alternation of the ac input. The output of this device has twice the direct voltage value of the half-wave rectifier. The rectifier utilizes a *center-tapped transformer* that transfers alternating source voltage to the diode rectifier circuit. The anodes of each diode $D_1$ and $D_2$ are connected to opposite ends of the secondary winding of the transformer. The diode cathodes are then connected together to form a common positive output. The load of the power supply should connect between the common cathode point and the center-tap connector of the transformer. The transformer, two diodes, and the load form a complete path for current.

![Fig. 1.8](image)

When alternating voltage is applied to the primary winding of the transformer, it steps the voltage down in the secondary winding. The center tap serves as an electrical neutral or center of the secondary winding. Half of the secondary voltage will appear between the center and upper taps of the secondary winding, and the other half between the center and lower taps. These two voltage values are equal and will always be $\pi$ radians (180 degrees) out of phase with respect to the center point. Each diode must have the PIV rating of twice the value of the peak voltage developed at the output, since twice the peak voltage is present across the reverse biased diode.
**Diode rectifier.** Fig. 1.9, *a* shows the load voltage \( U_d \), load current \( I_d \), and a diode inverse voltage \( U_{AC} \). The waveform of \( U_d \) is called a *full-wave signal*. The rectified voltage and current have similar waveforms with two pulses during the period \( T \). The main features of this circuit are

\[
U_d = 2\sqrt{2}U_2 / \pi, \quad I_d = \sqrt{2}I_2.
\]

In any practical circuit, an inductance presents and the current cannot break instantly. On the resistive-inductive load, the *commutation interval* \( \gamma \) (*overlap*) appears. It is shown on the current trace of Fig. 1.9, *b*. The reason is that the length of a diode conductive time is greater than the length of the positive voltage across the load.

The commutation interval is an interval of simultaneous operation of two diodes. Current transitions from one device to another are called *commutation processes*. Because the diodes in two phases are simultaneously open, in principle, it is the short circuit of the two phases and the output is the arithmetic mean of both phase voltages. The time period of the commutation process depends on the circuit inductance and the value of current. It is clear from the timing diagram that the commutation leads to the reduction of the average value of the output voltage of the rectifier. The commutation area is shaded on the voltage trace. This additional voltage drop raises the slope of the load curve shown in Fig. 1.3 that leads to deteriorating the rectifier voltage stability.

**Thyristor rectifier.** The average value of the thyristor rectified output voltage on the resistive load depends on the firing angle \( \alpha \) and is expressed by the equation:

\[
U_d = U_{d0} / 2 \cos \alpha
\]

where an average rectified voltage

\[
U_{d0} = 2U_{max} / \pi = 2\sqrt{2}U_2 / \pi = 0.9U_2
\]

that is, less than rms secondary winding voltage of the transformer. The diagrams of gate pulses, voltages, and currents of the thyristor circuit with the resistive load are shown in Fig. 1.10.
When the resistive-inductive load is used, the continuous or discontinuous current may flow through the load. On the continuous current operation, the output current is smoothed by load circuit inductance that is the output has no breaks (Fig. 1.11, a). On the discontinuous current operation, the voltage and current waveforms consist of separate pulses the length of which depends on the inductance of the load circuit (Fig. 1.11, b).

**Summary.** The main advantage of the single-phase full-wave rectifier is its more effective use of the transformer and diodes than in the half-wave rectifier. Nevertheless, the quality of the rectified voltage is low because of very high ripples and very low power factor. The main disadvantage of the two-diode full-wave rectifier is the requirement of center-taped transformer. Commutation improves the current waveform in the windings of the transformer and reduces the required transformer power. Obviously, commutation improves the power factor also.

1.4. Single-Phase Bridge Rectifiers
**Structure.** To overcome the requirement of center-taped transformer, four diodes can be used to form a full-wave single-phase bridge rectifier (B2 rectifier) shown in Fig. 1.12, a, b. By using four diodes or thyristors instead of two, this design eliminates the need for a grounded center tap.

**Diode rectifier.** During the performance of a bridge rectifier, two diodes are forward biased in each alternation of the ac input. When the positive alternation occurs, diodes D2 and D3 are forward biased, while D1 and D4 are reverse biased. This biasing conduction is due to the instantaneous voltage that occurs during the positive alternation. The conduction path is from the ac source, through diode D3, the load, then through diode D2, and back to the source. This causes the same alternation to appear across the load.

During the negative alternation, current flows from the source through D4, the load, then through D1, and back to the supply line. This causes the second alternation to appear across the load in the same direction as the first alternation. This means that voltage developed across the load is the same for each alternation. As a result, both alternations of the input appear as output across the load changed to single directional current flow on the dc output:

\[ U_d = 2\sqrt{2}U_s / \pi, \]

\[ S = S_1 = S_2 = \pi P_d / (2\sqrt{2}). \]

The timing diagrams of the circuit are the same as for the full-wave rectifier, but the diode inverse voltage is twice less since PIV across the diode is one-half of that of the previous rectifying method. The secondary current of the transformer is \( \sqrt{2} \) times higher. The average current through the diodes is equals to half of the dc load current: \( I_A = 0.5I_d \). For high values of direct output voltage, the use of bridge rectifier is desirable.

**Thyristor rectifier.** In thyristor rectifier,

\[ U_d = U_{d0} \cos \alpha = 2U_{max} / \pi \cos \alpha. \]

The input apparent power of thyristor rectifier is

\[ S_2 = 2U_{max} I_d / \pi \cos \alpha. \]

The voltage as a function of firing angle is plotted by the control curve in Fig. 1.13. For \( 0 < \alpha < \pi/2 \), the power flows from the ac to the dc side. For \( \pi/2 < \alpha < \pi \), the power flow is reversed and the average value of the output voltage is negative. Therefore, over this range of \( \alpha \), power is flowing from the dc side to the ac side. It is called inversion. The circuit can op-
erate only if the load current is positive. Otherwise, the thyristors could not conduct. When
the region of the first and forth quadrants of the circuit characteristic is discussed, the circuit
is a two-quadrant rectifier. Because the full ac voltage is applied to the conducting diodes in
series with the load, the load voltage has a peak value twice that of the full-wave rectifier
discussed earlier.

Summary. In this rectifier, the use of the transformer is more efficient than in half-wave and
full-wave rectifiers: the secondary current shape is more sinusoidal. Another advantage is
higher ratio $PIV / Ud$, that is more efficient use of diodes. The additional pair of devices that
leads to extra voltage drop across the diodes is the disadvantage of the bridge rectifier. For
this reason, sometimes a single-quadrant semi-controlled bridge is used with two diodes and
two thyristors (Fig. 1.12, c).

1.5. Three-Phase Full-Wave Rectifiers

Circuit diagram. Three-phase three-diode rectifier circuits (M3 rectifiers) produce a
purer direct voltage output than single-phase rectifier circuits do, thus wasting less power. In
Fig. 1.14, a, phases $U$, $V$, and $W$ of the three-phase source are connected to the anodes of
diodes $D_1$, $D_2$, and $D_3$. The load is connected between the cathodes of the diodes and the
neutral of the wye-connected source. When phase $U$ is at its peak positive value, maximum
conduction occurs through diode $D_1$, since it is forward biased. No conduction occurs
through $D_1$ during the negative alternation of phase $U$. The other diodes operate in a similar
manner, conducting during the positive ac input alternation and not conducting during the
associated negative ac alternation.

Fig.1.14
In a sense, this circuit combines three single-phase half-wave rectifiers to produce a half-wave dc output. The phases of the three-phase system are shifted by $2\pi/3$ radians (120 degrees) to each other. Therefore, the voltage appearing across the diodes is 120 degrees out of phase. There is a period of time during each ac cycle when the positive alternations overlap one another, as shown in Fig. 1.15, a. During the first period of the overlap time, the phase $U$ voltage is more positive than the phase $W$ voltage, whereas during the second interval, phase $W$ is more positive. Diode $D_1$ will conduct until the first time period ends, then $D_2$ will conduct beginning at the end of first period until the next area of overlapping is reached. It is clear that, unlike the single-phase rectifier circuit, the conduction angle of each diode is $2\pi/3$ instead of $\pi$ thus, each diode conducts from $\pi/6$ to $5\pi/6$.

The rectifying diagram of a thyristor three-phase three-diode circuit with the resistive load is shown in Fig. 1.15, b. On the resistive-inductive load, the current continues through the diode or thyristor after the voltage has changed its sign. For that reason, the thyristor does not close at the zero-voltage instant, but remains open as demonstrated in Fig. 1.15, c.

**Performance.** The voltage across the load rises to a peak value three times during each phase alternation of the input voltage. These peaks are $2\pi/3$ radians apart. Since the direct output voltage never falls to zero, less ac ripple is presented, which results in a purer form of dc than a single-phase rectifier produces. The rectified voltage of this circuit is:

$$U_d = \left( \frac{3U_{\max}}{\pi} \right) \left( \sqrt{3}/2 \right) \cos \alpha = U_{d0} \cdot \cos \alpha.$$

In this equation, the phase voltage with amplitude value $U_{\max}$ is equal to

$$U_{d0} = \frac{3\sqrt{3}/2U_s}{2\pi} = 1.17U_s.$$

The disadvantage of the three-phase three-diode rectifier is that the ac lines are not isolated. This lack of isolation, which is a direct connection to the ac lines, could be a hazardous
safety factor. To overcome this disadvantage, a transformer can be used, as shown in Fig. 1.14, b. The secondary voltage can either be increased or decreased by the proper selection of the transformer. This will permit a variety of different values to be made available. The secondary voltage of the supply transformer is $U_2 = U_s$.

Note that, as with a single-phase half-wave rectifier, the three-phase mid-point rectifier has direct currents in the secondary windings that can cause a transformer core saturation problem. In addition, the currents in the primary windings do not sum to zero. Therefore, it is preferable not to have star-connected primary windings.

Fig. 1.16

When the load inductance is sufficiently high, the output characteristics are linear. They are placed in two quadrants thus showing that the load voltage changes its sign when $\alpha > \pi / 2$ (Fig. 1.16).

In Fig. 1.17 the three-phase six-diode rectifier is shown. This is a so-called three-phase double-star rectifier or a dual three-phase rectifier. The two three-phase circuits connected in parallel via an additional reactor combine this rectifier. Two stars of the transformer secondary windings are joined such that the beginnings of windings are linked in the zero point of the first star and the ends of the windings are connected in the zero point of another star.
The polarities of the corresponding secondary windings in the two interconnected systems are reversed with respect to each other, so that the rectifier output voltage of the one three-phase unit is at a minimum when a rectifier output voltage of the other unit is at a maximum. The reactor causes the output voltage to be average of the rectified voltages of both rectifiers. The currents of each windings pair have the same direction, nevertheless the resulting magnetic flow of the cores does not contain the constant particle thus there is no additional magnetism in this circuit.

The usage factor of transformer and the quality of the rectified voltage here are better because the ripple amplitude is twice lower and the ripple frequency is twice higher. Therefore, the component size of the filter (if there is any) becomes smaller. The circuit has a high power factor \( \cos \phi = 0.955 \) and makes an efficient use of the transformer. \( U_{d0} = 1.17U_s \) here has the same level as in previous circuit and the anode current of each rectified device is equal:

\[
I_A = I_d / 6
\]

**Reversible circuits.** In the previous circuit, the polarity of the load voltage may be changed, but the direction of the load current remains constant. In Fig. 1.18, the back-to-back connection of the two rectifiers has been produced to provide the reversible dual-controlled system. As a result, a new current loop was born, which does not include the load. The current flowing through this loop built by the secondary windings and thyristors is known as a *circulating current*. The current value depends on the instant voltage differences of both rectifiers and the resistance of the loop. To avoid this current, the firing angles of both rectifiers should be calculated in accordance with the equations:

\[
\alpha_1 + \alpha_2 = \pi; \quad \alpha_1 - \alpha_2 = \pi.
\]

In this situation, the circulating current will be discontinuous. In practice, there are three methods of thyristor control.

**Fig. 1.18**

In the *joint coordinated control systems*, the firing unit performs the control by the following law:
Thus, the mean values of the voltages are equal, but their instantaneous values are different and this difference is consumed by the circulating reactor $L$. The continuous current flows through the load, and the circulating current travels through the reactor, thyristors, and windings.

![Fig. 1.19](image)

The output characteristics are linear (Fig. 1.19, a). The circulating current is a parasitic one, which results in the system’s power increasing. The advantage of this system is that when the current changes its direction, there is no delay between the conduction of one rectifier and other.

In the *joint non-coordinated control systems*, the firing unit performs more simple control law:

$$\alpha_1 + \alpha_2 = \pi.$$

In this case, the mean values of the voltages are almost equal, and the difference of their instantaneous values is consumed by the circulating reactor $L$. The discontinuous current flows through the load, and the circulating current travels through the reactor, thyristors, and windings. The output characteristics are non-linear, with narrow discontinuous area (Fig. 1.19, b). Again, the parasitic circulating current results in a rise of the system power.

In the *separate control systems*, only one rectifier at a time is allowed to conduct. In this case, each rectifier operates independently, and there is neither a parasitic circulating current, no a circulating reactor. Here, the firing unit switches off the first rectifier and with some delay switches on another rectifier. In order to prevent short circuiting in the rectifier leg, there should be a lockout time between the turn off of one rectifier and the turn on of the next. The delay must be larger than the maximum particle storage time of a rectifier. The
effect of the dead time is a distortion on the voltage level. The discontinuous current flows through the load and the load curves become non-linear, with narrow discontinuous area (Fig. 1.19, c). In high quality systems, dead time compensation is mandatory to avoid voltage distortion caused the instabilities at low frequency. Hardware and software compensation is used.

Summary. The low degree of the transformer use and the low power factor are the main disadvantages of this kind of rectifier. Sufficiently high quality of rectified voltage with small ripples is its main advantage. The reversible rectifier provides the four-quadrant load operation with almost constant voltage and constant current, though the additional losses may occur due to the circulating current. The circulating-current-free dual systems are used in many demanding applications where rapid control is required.

1.6. Three-Phase Bridge Rectifiers

SCR bridge. The full-wave counterpart of the three-phase full-wave rectifier circuit is presented in Fig. 1.20. This three-phase bridge rectifier (B6 rectifier) requires six diodes for the operation of the circuit. The rectifier can be considered as a series connection of two M3 rectifiers, where three devices are in a common cathode connection and three in a common anode connection. The anodes of diodes $D_4$, $D_5$, and $D_6$ are connected together at one point, while the cathodes of diodes $D_1$, $D_2$, $D_3$ are connected together at another point. The load should connect across these two points. This circuit does not require the neutral line of the three-phase source; therefore, a delta-connected source as well as a wye-connected source could be used.

Performance. The operation of the circuit is similar to the single-phase bridge in many respects. Each diode in this device conducts during one-third of a cycle (120 degrees). Peak positive direct output voltage occurs during every $\pi/60$ radians of the three-phase ac input. Thus, the output voltage of the rectifier is twice greater than the output voltage of the mid-point M3 rectifier:

$$U_d = (3\sqrt{3}U_{max}/\pi) \cdot \cos \alpha = U_{d0} \cdot \cos \alpha.$$ 

In this equation: $U_{d0} = 3\sqrt{3}U_s/\pi = 2.34U_s$ – phase voltage with amplitude value $U_{max}$. As $\alpha$ increases from 0 to $\pi$, the output voltage varies from $U_{d0}$ to $-U_{d0}$.

The voltage ripple is less because the output voltage consists of six pulses per unit voltage period. The switching order of diodes of B6 rectifier in Fig. 1.21 is $D_1, D_6, D_2, D_4, D_3, D_5$. At least two diodes are simultaneously in the open state.
On high load inductance, the forward current continues on the negative anode voltage and the closing of the device is delayed. Since the previously opened devices are not closed after the opening the next devices, it is possible that three or even four devices are open during the commutation process. This means that there is more than one open diode in the cathode or anode group and the current is re-switched from one phase to another.

The PIV of a diode is two times lower than in the mid-point rectifier since the diodes operate in the pair-wise series. The average and rms values of the diode current are:

\[ I_A = \frac{I_d}{3}, \quad I_{ms} = \frac{I_2}{\sqrt{3}}. \]

The rated power of the transformer is:

\[ S_t = 1.05 U_{AC} I_d. \]

The power factor is

\[ \cos \varphi = \frac{U_{AC} I_d}{S_t} = 0.95. \]

**Advanced bridge rectifiers.** The circuit diagram of the rectifier built on GTO thyristors is shown in Fig. 1.22. Here, the device for the regeneration of the accumulated energy of the load is added to the base circuit. It is the star- or delta-connected capacitor assembly in the rectifier input. Every next thyristor is switched on by the control pulse, which is passed ahead the natural firing instant. Simultaneously, the pulse is passed to close the thyristor, which conducts the current. As a result, the current of the conducted device falls down, and the current of the switching on the device rises quickly to the load value. Now, the input current of the rectifier passes ahead the supply voltage, thus the rectifier becomes the reactive power generator instead of the consumer.
In Fig. 1.23, the anti-parallel connection of the two rectifiers has been produced to provide the four-quadrant operation. The first rectifier conducts when the load current is required to be positive, and the second one when it is required to be negative. Clearly, in order to exclude short circuits between line terminals, only one of the converters can be allowed to conduct at any one time. This, by the way, has the advantage that only one thyristor of each pair produces conducting switching losses so that the pair can be mounted on the same heat sink. However, having opposite polarity, they must be electrically insulated from each other. At lower power rating, complete thyristor modules are available, having the necessary interconnections built-in.

Synchronous bridge rectifier built on transistor switches is shown in Fig. 1.24. When voltages are low and currents are high, this circuit has advantage due to decreasing the losses. Moreover, thanks to the freewheeling diodes the four-quadrant operation is possible here because of the switches opening during the regeneration periods. Of course, the gate driver of the switches is more complicated than thyristors have.
Summary. The three-phase bridge rectifiers are predominant because of their good technical properties:

- low ripple;
- high power factor;
- simple construction;
- low price.

Today, they are used in powerful and small-power suppliers and in AC/AC converters with a dc link.

Nevertheless, the diode and thyristor three-phase bridge rectifiers have some disadvantages, which also are typical for all previous circuits:

- first, they do not allow regenerating energy back to the alternating current supply line without dual connection;
- second, their input current is distorted because of the diode commutation processes.

Synchronous rectifiers based on transistor switches open the path to exclude these drawbacks.
2.1. Common Features of Inverters

Requirements. The process of converting dc to ac has been called inversion. An inverter converts the dc voltage to ac voltage of a definite frequency. Controlled semiconductor devices, such as SCR, GTO thyristors, and transistors are used in inverters.

The input dc voltage may come from the rectified output of an ac power supply, in which case it is called a dc link converter. Alternately, the input dc may be from an independent source, such as a dc voltage source or a battery. The ac output frequency of an inverter is precisely adjustable by control of the switching frequency of the inverter devices. This is usually determined by the frequency of a “clock oscillator” in the switching control section of the inverter.

A requirement for a load control by the use of a variable frequency supply is that the applied voltage or current waveforms contain a minimum possible distortion. The best solution would be an inverter that generates sinusoidal waveforms, because the sine wave form is usually the most desirable for many applications. However, such a device would be expensive to produce since it would require a large number of switching elements. Therefore, the ac output of practical inverters will inevitably have a certain amount of harmonic content.

There are two ways by which the harmonic content can be brought down to a low value or at least within acceptable limits:

- one method is to use a filter circuit on the output side of the inverter; clearly, the filter will have to handle the large power output from the inverter;
- the second scheme employs a modulation strategy that will change the harmonic content in the output voltage such that the filtering needed will be minimal or zero, depending on the type of amplification.

Different classes of inverters are widespread.

Single-phase and three-phase inverters. Inverters are usually designed to provide either single or three-phase output. Larger industrial applications require three-phase ac. Low-signal half wave inverters pass the electrical energy during one alternation. These inverters are used when the power of the load is 100 to 200 W.

Offline and online inverters. The second classification refers to offline inverting and online inverting. If the only source of the load ac line is the inverter, the inverter is called an offline inverter or an autonomous inverter. Some popular offline inverters have the same topology as the controlled rectifiers discussed above. On the other hand, if the inverter is the part of
the common power line supplies, the inverter is called an online inverter or a line-fed inverter.

Voltage source and current source inverters. In accordance with the circuit electromagnetic processes, voltage source inverters and current source inverters are distinguished. A voltage source inverter (VSI, or voltage stiff inverter) forms the voltage with required properties: magnitude, frequency, and phase. This is the most commonly used type of inverter. The signal that it provides on the output side functions as a voltage source. The diagram of the inverter voltage and current is shown in Fig. 2.1, a. This inverter has low internal impedance. Generally, it has a capacitor of high capacity connected across the supply source that keeps the voltage constant.

Another feature is the bi-directional input current of VSI. To provide this peculiarity, switches must be constructed on the full controlled devices (transistors, GTO thyristors, or MCT) with freewheeling diodes. The output current of the VSI is shaped according to the voltage value and the load resistance.

There is usually provision for adjustment the output voltage of the inverter. One of the two ways is commonly used. Varying the dc input voltage supplied the inverter may vary the output voltage. In this case, the adjustment is outside the inverter and is independent on the inverter operation. The alternative way of ac voltage variation is within the inverter by a modulation technique.

A current source inverter (CSI) is the source of the current with the required properties: magnitude, frequency, and phase. The input circuit of the current source inverter has the properties of the dc current source. The switches of the inverter periodically change the output current direction. The load of the inverter has the properties of the voltage source with almost zero impedance. Thus, the output voltage of the CSI is shaped according to the voltage drop on the load caused by the output current. The diagram of the inverter voltage and current is presented in Fig. 2.1, b.
Commonly used inverters are neither perfect voltage source nor perfect current source inverters. They approximate more or less the properties of both in dependence of an inductance and capacitance of the circuit.

**Control methods.** Another classification refers to a method of control. There are a *block control* (other names are *square-wave control* and *six-step control*) principle and a *pulse control* principle. According to the first principle, ones opening and closing of a semiconductor switch forms the positive or negative half period of the ac signal. Thus, the rectangular voltage blocks are formed at the output of the inverter. The advantages of the square-wave inverter are high efficiency (close to 98%), potentially good reliability, and high-speed capability. In such circuits, harmonic voltage amplitude is inversely proportional to the harmonic order and hence there are no pronounced high-order harmonics. These are filtered out by the load inductances. High-frequency operations are possible by increasing the output frequency. Faster switching devices, such as MOS transistors and IGBT, can be used to achieve this performance.

However, it suffers from low-voltage pulsations and possible instability. The sinusoidal output voltage cannot be achieved using the block control principle. The output voltage diagram of the block control inverter is a piecewise curve, which is markedly different from the sinusoidal curve.

The block control inverters are common in low-power industrial applications where the voltage range is limited to ten to one and dynamic performance is not important. Nevertheless, they are prospective thanks to the development of the new vector methods.

If the pulse control is used, the controlled ac signal is formed by one of the pulse *modulation* methods. A large number of modulation techniques exists each having a markedly different performance in respect to the stability and audible noise of the driven load.

**PWM technique.** The *pulse width modulation*, or PWM method is now gradually taking over the inverter market in control applications. This technique is characterized by the generation of the constant amplitude pulses in which the pulse duration is modulated to obtain necessary specific waveform.

The principle of PWM is illustrated in Fig. 2.2. The sinusoidal *modulating signal* $U_m$ refers to the required output waveform. The high-frequency triangle *carrier signal* $U_c$ is synchronized by the ac supply voltage. Usually, the carrier frequency is much greater than the modulating frequency. The natural intersections of $U_m$ and $U_c$ determine both the offset and duration of the modulated pulses. In the PWM waveform of pulse pattern is dependent on the ratio of the peak $U_m$ to the peak $U_c$. The frequency ratio $f_c / f_m$ is called the *carrier ratio* and the amplitude ratio $U_m / U_c$ is called the *modulation index*. The carrier ratio determines the number of pulses in each half-cycle of the inverter output voltage and the modulation index determines the width of the pulses and hence the rms value of the inverter output voltage. The ideal maximum modulation index is equal to unity. Various PWM schemes allow $U_m / U_c < 1$ that represents an important performance criterion as the inverter maximum power depends on the maximum voltage at load terminals.
An increase in the output voltage is possible by making $U_m / U_c > 1$, but the output is then no longer proportional to the modulation index. This condition of overmodulation leads to an increase in the harmonic current and can also result in undesirably large jumps of voltage.

Using the PWM technique, low-frequency current pulsations are virtually eliminated since negligible low-order harmonics are present. When the modulation is provided by a sinusoidal curve, the output of the converter is the pulsed voltage with an average sinusoidal value. Hence, this is an ideal solution where a power electronic system is to be used across a wide frequency range.

Since voltage and frequency are both controlled with the PWM, quick response to changes in demand voltage and frequency can be achieved. PWM inverter efficiency typically approaches 98%, but this figure is heavily affected by the choice of the switching frequency – the higher the switching frequency the higher the losses. In practice, the maximum fundamental output frequency is usually restricted to 100 Hz in the case of GTO or 2 to 50 kHz for a transistor-based system. The upper frequency limit may be improved by making a transition to a less sophisticated PWM waveform with a lower switching frequency and ultimately to square wave if the application demands. However, with the introduction of faster switching power semiconductors, these restrictions to switching frequency and minimum pulse width have been eased. Their suppression is a matter for the power electronic designer and suitable internal measures can keep such emission under control.

Summary. Inverters are the significant building blocks of ac electronic systems. So far as the ac loads dominate in industry, business, and life, inverters become the objects of choice for many designers and customers.

Inverters do not themselves radiate an essential level of harmful electromagnetic energy. The electromagnetic fields in the immediate vicinity (<100 mm) of the inverter can be high
enough, but these diminish quite quickly according to the inverse square law and are insignificant at a distance of about 300 mm. When inverters are mounted in metal enclosures, the electromagnetic radiation is largely eliminated.

Nevertheless, high frequencies superimposed on a sinusoidal waveform of supply draw a non-sinusoidal current and distort the ac voltage as shown in Fig. 2.3. They cause additional losses in different items of plant. Harmonic distortion can be looked upon as a type of electrical pollution in a power system and is of concern because it can affect other connected equipment. For example, a total harmonic voltage distortion of 2.5% can cause an additional temperature rise of 4°C in induction motors. In cases where resonance can occur between the system capacitance and reactance at harmonic frequencies, voltage distortion can be even higher.

![Fig. 2.3](image)

2.2. Voltage Source Inverters

**Inverting mode of thyristor rectifiers.** The full-wave controlled rectifier circuits discussed above can operate as online inverters when the firing angle \( \alpha > \pi/2 \). The voltage and current diagrams of a midpoint three-phase converter, shown in Fig. 1.14, are presented in Fig. 2.4. Here, the negative ac voltage is directed against the current, therefore the negative active power is moved to the supply line.

![Fig. 2.4](image)
In the inverting mode, it is often more convenient to express the firing angle in terms of the "angle of advance" from the end limit of the interval available for successful commutation than as a delay $\alpha$ from the beginning of the interval. This angle is usually denotes as $\beta$. Then,

$$\alpha + \beta = \pi$$

and

$$\cos \alpha = -\cos \beta.$$

To complete the commutation before the limit available when there is overlap, the angle $\beta$ should be greater than the commutation interval $\gamma$. The commutation interval is variable and dependent on the dc load current. To take care of this and the turn-off time of the thyristor also, it is usual to provide a minimum angle of safety that is called the extinction angle: $\beta - \gamma$. For stable inverter operation when the possibility of commutation failure exists, it is desirable to employ a closed-loop gate control, which will automatically ensure a safe minimum extinction angle.

**Single-phase block-controlled VSI.** Fig. 2.5, a shows the half-bridge configuration of the single-phase VSI. Switches $T_1$ and $T_2$ may be BJT, MOSFET, IGBT, GTO thyristors, or SCR with commutation circuit. Freewheeling diodes $D_1$ and $D_2$ are known as feedback diodes because they can feed back load reactive energy.

Waveforms are shown in Fig. 2.5, b. During the positive half-cycle of the output voltage, the switch $T_1$ is turned on, which makes $U_{out} = +U_d / 2$. During the negative half-cycle the switch $T_2$ is turned on, which makes $U_{out} = -U_d / 2$. Note that prior to turning on a switch, the other one must be turned off; otherwise both switches will conduct and short circuit the dc supply.

If the load is reactive, the output current lags the output voltage, as shown in Fig. 2.5, b. Note that $U_{out}$ is positive during $0 < t < T / 2$; that is, either $T_1$ or $D_1$ is conducting during this interval. However, $I_{out}$ is negative at the beginning of the phase; therefore $D_1$ must be conducting during this part of the interval. Later, the load current is positive until $T / 2$ and there-
fore $T_1$ must be conducting during this part of the interval. The feedback diodes conduct when the voltage and current are of opposite polarities.

Fig. 2.6 illustrates the single-phase full-bridge VSI. It consists of two legs. Each leg includes a pair of transistors with anti-parallel freewheeling diode’s discharge circuits of reverse current. In the case of resistive-inductive load, the reverse load current flows over these freewheeling diodes. The diodes provide an alternate path for the inductive current, which continues to flow when a switch is turned off. When regeneration occurs, the roles of the switch and diode reverse. The diode now returns the regenerated power to the dc supply while the switch carries the reactive voltage.

![Fig. 2.6](image)

With the block control, the two transistors in each leg may be switched in such a way that when one of them is in its off state, the other is on (Fig. 2.7,a). Therefore, the two switches are neither on simultaneously. In practice, they are both off for a short time interval, known as a blanking time, to avoid short circuiting of the dc input. In analyzing the circuit the blanking time is neglected since it is assuming the switches to be ideal, capable of turning off instantaneously. Under enough load inductance, the output current will flow continuously. Therefore, the output voltage is solely dictated by the status of switches. The output voltage is reversible in polarity.

In other cases, the bridge legs are switched such that their output voltages are shifted to each other. If the shift angle is equal to zero, the output voltage of the inverter is equal to zero. If the shift is $\pi$, the output voltage is maximal. The voltage waveforms of such block control are presented in Fig. 2.7,b. The shape of the output voltage differs significantly from the sinusoidal, besides the main harmonic. Therefore, the block control is well applicable in the control range where the frequency of the output voltage corresponds to the main harmonic.

**Single-phase PWM-controlled VSI.** With the PWM control, the switching signals are generated by comprising a switching-frequency carrier triangular waveform $U_c$ with the modulating signal $U_m$ as shown in Fig. 2.8. When $U_m > U_c$, two transistors are turned on and two other are turned off. The switch duty cycle can be obtained from the waveforms:

$$q = t_{on} / T = (1 + U_m / U_c) / 2$$
for turning on and

\[ q_{on} = \frac{t_{on}}{T} = 1 - q \]

for turning off. The duty cycle \( q \) can vary between 0 and 1 depending on the magnitude and the polarity of \( U_m \). The last equation shows that the average output voltage varies linearly with the modulating signal, similar to a linear amplifier. Due to the voltage jumps between \( +U_{out} \) and \( -U_{out} \), this switching strategy is referred to as the bipolar voltage-switching PWM. The average output current can be either positive or negative.

Fig. 2.7

\[ \begin{array}{c}
\text{a.} \\
\text{b.}
\end{array} \]

Fig. 2.8
Many modern inverters have a selectable output switching frequency and the tendency is to use the highest output frequency to reduce the audible noise (16 Hz to 50 kHz). The selection of the PWM switching frequency is a compromise between the losses in the load and the losses in the inverter. When the switching frequency is low, the losses in the load are higher because the current waveform becomes less sinusoidal. When the switching frequency increases, load losses are reduced but the losses in the inverter will increase because of the increased number of commutations.

**Three-phase block-controlled VSI.** Three-phase inverters are commonly used to supply three-phase loads. The most frequently used three-phase bridge VSI is shown in Fig. 2.9. It consists of three legs, one per each phase. All inverter legs are similar; therefore the output of each leg depends only on dc supply voltage and the switch status. The output voltage is independent on the output load current’s magnitude since one of the two switches in a leg is always on at any instant.

![Fig. 2.9](image)

With the block control, six different variants of open and close transistors may be presented. In any case, three transistors are opened together. When the load is delta-connected, each phase either gets the voltage of supply line or is shorted during $\pi/3$ radians. Therefore, the waveform of the phase voltage is rectangular as shown in Fig. 2.10. The sequence of switching is in the order $T_3T_5T_1$, $T_5T_1T_6$, $T_1T_6T_2$, $T_6T_2T_4$, $T_2T_4T_3$, $T_4T_3T_5$, and back $T_3T_5T_1$.

When the load is wye-connected, each phase is connected either in parallel to other phase or in series to other parallel-connected phases. Therefore, each phase gets the voltage equal to $U_d/3$ or $2U_d/3$. The phase, line-to-line, phase-to-neutral, and line-to-neutral voltages then have the waveforms shown in Fig. 2.11. The firing of the three half-bridges are phase-shifted by $\pi/3$. When $T_1$ is fired, point $U$ is connected to the positive terminal of the dc supply, making $U_U = U_d/2$. When $V_4$ is fired, point $U$ is connected to the negative terminal of the dc supply, making $U_U = -U_d/2$. Waveforms of $V$ and $W$ are exactly the same at those of $U$, except that they are shifted by $\pi/3$. The line voltages are related to the point voltages as follows:

\[
U_{UV} = U_U - U_V, \quad U_{UW} = U_V - U_W, \quad U_{WU} = U_W - U_U,
\]
These voltages are quasi-square waves with $\pi/3$ pulse width. They have a characteristic six-stepped wave shape. For a balanced three-phase operation, the voltage of the load neutral to the supply neutral can be written as follows:
\[
U_{\text{NO}} = \left( U_U + U_V + U_W \right) / 3.
\]

From here, the load phase voltages may be obtained as follows:
\[
U_{U_0} = U_U - U_{\text{NO}},
U_{V_0} = U_V - U_{\text{NO}},
U_{W_0} = U_W - U_{\text{NO}}.
\]

They also have a six-stepped wave shape. The presence of six steps in the line-to-neutral voltage waveform is the reason for this type of inverter being called a six-step inverter. A Fourier analysis of these waveforms indicates a square wave type of geometric progression of the harmonics. That is, the line-to-line and line-to-neutral waveforms contain \(1/5\)th of the fifth harmonic, \(1/7\)th of the seventh harmonic and so on. The line-to-line voltage contains an rms fundamental component of \(\sqrt{6} \cdot U_{\text{line}} / \pi\). Thus a standard 460 V load would require 590 V at the dc terminals. For this reason a 600 V dc bus is quite standard in US and in other countries for inverter loads. Standard 380 V load require 487 V dc.

**Three-phase PWM-controlled VSI.** Similar to the single-phase inverter, the objective in the PWM three-phase VSI is to shape and control the three-phase output voltages in magnitude and frequency with an essentially constant input voltage. A power circuit, resembling the transistor inverter in Fig. 2.9, is the voltage source thyristor inverter shown in Fig. 2.12. With SCR thyristors and inductive-resistive load, additional components are needed for the forced commutation. On the other hand, when employing GTO, any additional circuitry is omitted.

![Fig. 2.12](image)

Each phase of the inverter comprises two main thyristors with anti-parallel diodes, two auxiliary thyristors, a commutated capacitor and an air-cored inductor. A commutating process takes place as follows. Assume that the main thyristor \(D_1\) initially carries the load current and the commutation capacitor of its phase is positively charged. Then firing of the auxiliary thyristor \(D_{11}\) causes \(D_1\) to be locked so that the load current flows temporarily through \(D_{11}\) thus recharging the capacitor. At the same time the resonant circuit is formed consisting of \(D_{11}\), its capacitor, inductor, and diode. When about a half period of the oscillation is concluded and the charging current tends to reverse its sign, \(D_{11}\) and \(D_1\) are blocked, which leaves the capacitor with the opposite voltage, ready for the next commutation transient.
when $D_4$ has to be extinguished. The load current is now flowing through the diode of $D_4$. If
the current pulse through the resonant circuit is of sufficient magnitude as compared to the
load current, the commutation is almost independent on the load. Voltage or current controller
determines the firing instants for the main and auxiliary thyristors.

To obtain balanced three-phase output voltages in a three-phase PWM inverter, the same
carrier triangle voltage waveform is compared with three sinusoidal modulated voltages that
are $2\pi/3$ radians out of phase, as shown in Fig. 2.13. Changing the pulse width of each half-
cycle of the inverter varies the output phase voltages $U_U$, $U_V$, $U_W$ of the inverter. The
corresponding line voltages

$$U_{UV} = U_U - U_V, \quad U_{VW} = U_V - U_W, \quad U_{WW} = U_W - U_U$$

have sinusoidal fundamental components.

![Fig. 2.13](image)

The inductive load applications of a PWM result in currents that respond only to the
fundamental component. But in view of the limited switching frequency, which is usually
below 1 kHz for thyristors, the resistive load currents can no longer be considered close to
sinusoidal as was possible with transistor inverters operating at a higher switching
frequency. Hence, PWM thyristor inverters are naturally suited to act as voltage sources,
presenting to the load pulse-width modulated rectangular voltages. This produces distorted
line currents with low orders of harmonics and draws reactive currents of line frequency.
Summary. This most commonly used inverting approach provides on the output side functions as a voltage source. The simplest method of VSI implementation deals with the use of thyristor rectifiers with the firing angles more than $\pi/2$. More effective and expensive are the transistor bridges with freewheeling diodes and block control. The best results gives multiphase transistor PWM-controlled VSI.

2.3. Current Source Inverters

Features. CSI requires a dc current source at the input, as opposed to the dc voltage source required in a VSI. Commonly, it has the large inductor connected in series to the supply source, which keeps the current constant, and the capacitor across the output. CSI can be used for such electrical equipment that needs the control of the current value: electric arc furnace, induction heating, etc. As compared to the VSI, they are not so widely used because of the requirement of the resistive-capacitive load. Instead, the voltage inverter is used as a current source with appropriate current feedback. When using thyristors, CSI sometimes have advantages over VSI because of more simple switching circuits. Whereas each VSI can be used with most forms of ac loads, a specific design of CSI is usually adopted for different loads.

Single-phase CSI. A forced-commutated CSI is the most widely used system at power levels in the range 50 to 3500 kW at voltages up to 700 V normally. High-voltage versions 3.3/6.6 kV have been developed, however they have not proved to be economically attractive. The single-phase CSI is shown in Fig. 2.14. The single-phase bridge plays the role of the commutator here. For the current source mode, an inductor is included in the input circuit of the inverter. A capacitor is placed in the output as an energetic buffer between the pulsing inverter and the load. In addition, the capacitor is the instrument of the forced commutation of thyristors. When the thyristors $D_2$ and $D_3$ conduct the current, the input voltage charges the capacitor. In the instant of the thyristors $D_1$ and $D_4$ switching on, the previous thyristors will get the reverse voltage of the charged capacitor, which helps them close immediately. The capacitor begins recharging to other polarity, finishing it before the next switching instant. The higher the current, the faster the capacitor’s recharging and the shorter the time of the forced commutation.

![Fig. 2.14](image-url)
Three-phase CSI. Fig. 2.15 shows a three-phase CSI. The dc current $I_d$ taken from a current source is sequentially switched at the required frequency into the load. The circuit commutation transient may be described as follows. With no commutation in progress, two thyristors, for example $D_1$ and $D_6$, carry the dc and the capacitor $C_1$ is positively charged as a result of the preceding commutation. If the thyristor $D_2$ is now turned on, $D_1$ is extinguished in a rapid transient and $D_2$ assumes the direct current. This is the starting condition of the commutation transient. While the current in the $U$ phase is now reduced towards zero, the current in the $V$ phase is rising. During this interval, the $U$ phase is fed through the capacitor $C_1$ as well as the series connected capacitors $C_2$ and $C_3$. Eventually, diode $D_7$ is blocked and the commutation is completed while $D_6$ and $D_2$ are conducting. The diodes are required for decoupling in order to prevent the capacitors from losing their charge necessary for the next commutation.

Fig. 2.15

The idealized waveforms of the output currents are shown in Fig. 2.16. Each thyristor conducts for a $\pi/3$ radian. When a thyristor is fired, it immediately commutates the conducting thyristor of the same group (upper group $D_1, D_2, D_3$, lower group $D_4, D_5, D_6$). The diodes cause the charge to be held on the commutating capacitors. Without these diodes a capacitor would discharge through two phases of a load.

The load voltage waveform is approximately sinusoidal apart from the superposition of voltage spikes caused by the rise and fall of the load current at each commutation. The operating frequency range is typically 5 to 60 Hz, the upper limit being set by the relatively slow commutation process. This system is used for single-motor ac drives of fans, pumps, extruders, compressors, etc. where very good dynamic performance is not necessary and a supply power factor, which decreases with speed, is acceptable.
**CSI built on GTO thyristors.** The circuitry of the inverter is considerably simplified when GTO thyristors or transistors are employed where the load and the line currents may be width modulated and approach sinusoidal waveforms. These circuits do not need the diodes $D_7 - D_{12}$ and capacitors are only between phases $U$, $V$, $W$. Such capacitor bank performs the following functions:

- during switchover of current between devices, the load current is provided by the capacitors, which assist the commutation process;
- capacitors filter current harmonics and make the load current essentially sinusoidal;
- voltage spikes are reduced significantly.

A major disadvantage of this scheme is the potential for resonance between the capacitors and the load inductances. Care must be taken to avoid impressing current harmonics into the load-capacitor network, which will excite one of the system resonant frequencies. This possibility can be avoided by careful use of PWM. However, since the load parameters must be known to implement such an approach, the system is presently not popular for general-purpose applications.

**Summary.** The main features of forced-commutated CSI may be listed as follows:

- they cannot operate with no loading and overloading;
- their output voltage waveform depend on the load value;
- they are sufficiently slow devices because of reactive elements in their inputs and outputs;
- the size and cost of the ac commutating capacitors and the inductor are the significant disadvantage of this inverter; they are to be large because they must absorb the total energy stored in the load when the current is commutated.
2.4. Resonant Inverters

Objectives. In all the topologies discussed above, the electronic devices operate in a switch mode where they are required to turn on and turn off the entire load current during each switching. In these operations, the switches are subjected to high stresses and high power loss that increases linearly with the switching frequency. Another significant drawback of these operations is the electromagnetic noise produced due to large current and voltage transients. These shortcomings of switching converters are exacerbated if the switching frequency is increased in order to reduce the converter size and weight and hence to raise the power density.

Therefore, to realize high frequencies, the switching processes should be produced when the voltage across the switch and/or current through it is zero at the switching instant. Resonant inverters are the switching converters, where controllable switches turn on and off at zero voltage (zero-voltage switch, ZVS) and/or zero current (zero-current switch, ZCS).

The resonant inverters are defined as the combination of inverter topologies and switching strategies that result in zero-voltage and/or zero-current switching:

- resonant dc-link inverters;
- load-resonant inverters;
- resonant-switch inverters.

Supply-resonant inverters. The input voltage of the ZVC circuit, presented in Fig. 2.17 and known as a “soft” inverter, is a pulsating dc that oscillates around its average level. As a result, the input voltage remains zero for a finite duration, during which the status of the inverter switches changes. The circuit is controlled as follows.

![Fig. 2.17](image)

In an initial state, the capacitor is discharged. All inverter switches are turned on at zero voltage, applying zero volts to the load and shorting out the capacitor. The inductor current ramps up through the switches. When the inductor current reaches an appropriate level, one
switch in each leg opens and applies voltage to the load. The capacitor voltage then rings up to a value exceeding the supply while the inductor current decreases.

The oscillation continues with capacitor voltage now decreasing. The supply across the inverter leg has the form of a series of pulses with the same waveform as the capacitor voltage. When the capacitor voltage decreases to zero, the anti-parallel diodes clamp the capacitor voltage from going negative, in effect placing a short circuit across the capacitor and momentary discharging it. Then, the process is repeated.

This circuit has no ability to give pulses with continuous variation in pulse width as well as with the conventional inverter. The output voltage must be controlled by block modulation rather than PWM. However, the resonance is at such a high frequency (50 to 100 kHz) that this does not limit the smoothness of the output current.

**Load-resonant inverters.** These inverters include the output LC resonant circuit between the switching module and the load. The parallel and series resonant circuits and their combinations as mixed resonant circuits are used in load-resonant inverters for this purpose. The power flow to the load is controlled by the resonant impedance, which in turn is controlled by the switching frequency.

Resonant inverter displayed in Fig. 2.18 consists of a switching circuit $T_1$ to $T_4$ and LC resonant circuit that form an alternating voltage for the load. The maximum frequency of the tank circuit is near the commutation frequency of the switches. The frequency of the resonant inverters cannot be changed by the reference signal of the control system. Such inverters are used in electro-thermal processes for the supply of the heating equipment. They suit for microwave furnaces and ultrasound equipment where sources are needed without control. The resonant inverter for dc voltage load has the rectified output.

**Resonant-switching inverters.** Resonant-switching inverters have also been termed ZCS quasi-resonant inverters. The inverter has the same circuit as shown in Fig. 2.14. The input reactor and the output capacitor of the circuit make up an LC loop with a switching device between them. The resonant loop parameters and switching frequency are selected in such a way that the output current is discontinuous. When the current falls to zero, the thyristors
switch off. Thanks to zero-current switching, the losses are very low and the switches may be low-power devices. Moreover, the discontinuous current waveform results in high-speed voltage amplitude regulation.

**Summary.** Significant decreasing of the thermal load and stress commutation processes lead to higher inverter reliability and frequency range. Nevertheless, most of designs of this type share common problems:

- first, the resonance inherently causes higher voltages than that of the supply, which places higher pressure on the power switches and the load; this can be overcome by addition of other switches and energy storage elements to absorb excess energy;
- second, they require more complex control systems because the instant of switching has to be varied with the load to maintain resonance.
3.1. AC Voltage Regulators

**Classification.** A converter that changes an ac supply to the ac supply with alternative voltage, frequency, phase, or shape is called an AC/AC converter. The simplest one is voltage regulator, which changes ac voltage without frequency variation. Others are a direct frequency converter that changes frequency and voltage shape and a dc link frequency converter. In the letter, a rectifier is used as a voltage-regulating front-end system and an inverter that generates an ac voltage with certain frequency.

**Single-phase voltage regulator.** The ac source provides the means of natural commutation of the conducting switches. A pair of SCR connected in inverse-parallel or a triac can perform the function of an electronic switch suitable for use with ac supply.

The power circuit of a single-phase ac voltage regulator supplying a resistive-inductive load is shown in Fig. 3.1, a. If necessary gating pulses $I_G$ are applied to the SCR while its respective anode voltages are positive, current conduction is initiated.
The conduction angle depends on the firing angle $\alpha$, measured from anode zero voltage, and on the phase angle $\varphi$ of the load for sinusoidal supply. Thyristor $D_1$ is fired at $\alpha$ and thyristor $D_2$ is fired at $\pi + \alpha$. When $D_1$ turns on at $\alpha$, the supply voltage is applied to the load. The load current builds up at $\alpha$ and decays to zero at some angle $\gamma$. When $D_2$ turns on at $\pi + \alpha$, a negative current pulse flows in the load. The waveforms of the load voltage $U_{\text{out}}$ and load current $I_{\text{out}}$ are shown in Fig. 3.1, b for $\alpha > \varphi$. In this case, $I_{\text{out}}$ is discontinuous. Fig. 3.1, c shows waveforms for the situation when $\alpha \leq \varphi$. In this case, $I_{\text{out}}$ is continuous and sinusoidal. When $\alpha < \varphi$, the thyristor will be fired at $\omega t = \alpha$, but it will turn on at $\omega t = \varphi$.

The effective load voltage can be varied from zero, corresponding to extinction of both thyristors, to almost full supply voltage, corresponding to full conduction of both devices. When a switch is conducting, its forward voltage drop is of the order of one volt and this constitutes a reverse voltage on the reverse-connected thyristor, which is held in extinction. A current flowing in SCR $D_1$, for example, serves to reverse-bias SCR $D_2$. This cannot be switched on, regardless of firing conditions until the current in $D_1$ has fallen below its holding value (a few mA).

**Three-phase voltage regulator.** For high-power loads, three-phase regulators are used. Fig. 3.2 shows three-phase voltage regulators comprising inverse parallel-connected thyristors in each supply line of a load. In the first circuit, the thyristor switches are in lines and the load is star-connected.

In the other circuit, the thyristor switches are connected in series with the phase loads to form a delta connection. Analysis of the star-connected three-phase regulator is complex because the operation of one phase is dependent on the operation of the other phases. However, the operation of the delta-connected regulator can be studied on a per-phase basis because each phase is connected across a known supply voltage. Alternative connections are available also, but the principles are similar. Often, the converters shown in Fig. 3.2, are used to control the voltage applied to the load (motors, lights) and in this way soften the effects of switching the load direct-on-line.
Summary. Voltage regulators control the ac load voltage without frequency changing. They are low-effective devices and thus have no use in precision systems. The most usual applications are the soft starters for induction motors where the voltage control provides smooth jolt-free acceleration. Also, such converters are employed as combined soft starters, power-factor correctors, and energy-saving devices. In addition to the voltage control, a crude form of frequency control is possible by modulating (varying cyclically) the thyristor firing angles at the required output frequency.

3.2. Direct Frequency Converters

Frequency converters. *Frequency converters (changers)* transform an ac of one frequency to ac of other frequency. They are classified as the direct frequency converters and converters with intermediate dc link. Direct frequency converters do not contain energy storage in the intermediate circuit. Their ac input frequency is directly converted to the ac output frequency. The most popular types of direct frequency converters are naturally commutated cycloconverters and matrix frequency converters.

Cycloconverters. *Cycloconverters* are the naturally commutated frequency converters that are synchronized by supply line. Commonly, they are used in high power applications up to tens of megawatts for lowering frequencies of such low-speed machines as rolling mills, hoists, excavators, and screw propellers. A thyristor, closing on natural commutations, i.e., turns off on zero current, is the almost only device that can meet the switch voltage and current rating needed at this power levels. 3-, 6-, 12-, and 24-pulse cycloconverters are used.

The main feature of these circuits is that only standard line-commutated thyristors are required, which have been in use for many years up to the highest power ratings. Also, the cost of the thyristors is reasonable since no particular specifications with regard to turn-off time are necessary.

Single-phase cycloconverter. Fig. 3.3 shows the single-phase cycloconverter. Its left and right converters are the positive and negative controlled rectifiers, respectively. If only left is operated, the output voltage is positive. If the right converter is operated, the output voltage is negative.

Let the amplitude of the control signal $U_c$ be such that the output voltage $U_{\text{out}}$ is maximal. This means that the firing angles of the two converters are zero, that is, $\alpha_{\text{left}} = \alpha_{\text{right}} = 0$. During the positive half-cycle of $U_c$ the left converter is fired, and during the negative half-cycle the right converter is fired. The output voltage waveform of Fig. 3.4, a describes the case when the fundamental output frequency is one-third the input frequency.

Let the polarity of the control voltage $U_c$ represent the polarity of the output voltage $U_{\text{out}}$. The amplitude of $U_c$ represents the desired average output voltage. The frequency of $U_c$ represents the fundamental output frequency of $U_{\text{out}}$. The supply voltage $U_{\text{in}}$ is shown in Fig. 3.4, a.
The waveform of the output voltage at a reduced value of the control voltage is shown in Fig. 3.4, b. If the control voltage varies with time during each half-cycle instead of remaining constant, the firing angles change during the half-cycle. This reduces the harmonic content in the output voltage as shows Fig. 3.4, c.

**Three-phase cycloconverters.** The circuit diagram and the output voltage traces of a 6-pulse cycloconverter are presented in Fig. 3.5, a, b.
The device has the 3-phase input and single-phase output and consists of two anti-parallel three-phase bridges. The thyristors of the first bridge conduct on the positive half-wave of the output and the thyristors of the second bridge conduct on the negative half-wave of the output. The firing angles are adjusted such that the output voltage is kept close to the sinusoidal form. There are several ways to control a cycloconverter. The simplest way is the triangle control, under which the firing angles of the active bridge can be changed linear. Output voltage alters nearly sinusoidal in this case. Thyristors are closed by the supply voltage commutation. Thus, the frequency of the output voltage cannot precede the frequency of the supply voltage and is always at least twice lower. For smooth commutation of the current from one bridge to another, the firing angle is momentarily changed above $\pi/4$ radians and the bridge goes into the inverter operation mode.

Although the output voltage of a cycloconverter can be either positive or negative, the output current can only be positive. To obtain bilateral load current, a "negative" converter is usually placed in parallel with the "positive" one. It can carry a negative load current. Such a combined circuit is known as a four-quadrant naturally commutated cycloconverter that produces an ac output voltage for the bi-directional output current.

The large number of thyristors seems at first sight staggering. For the six-pulse converter with a three-phase output shown in Fig. 3.6, a minimum of 36 thyristors is required. This indicates that cycloconverters are mainly of interest for large systems, where parallel thyristor
branches would be necessary in other converter circuits. An important restriction is the limitation of output frequency, which is caused by the discrete nature of the control process and the presence of a carrier frequency, since the output voltages are assembled from sections of the line voltages. As the output frequency rises, the output voltages are tracking the sinusoidal references with increasing errors and consequent distortion. The frequency range

\[ 0 < f < m f_0 / 15 \]

is usually considered as the useful range of operation, where \( f_0 \) is the line frequency and \( m \) is the pulse number. With a 50 Hz net and a three phase bridge circuit (\( m = 6 \)) this results in \( f_{\text{max}} = 20 \) Hz. Clearly, if a three-phase line of higher frequency is available, the range of output frequency is extended accordingly; this may be the case of vehicles or ships, when a diesel- or turbine-driven generator provides on-board power.

Another circuit of the direct frequency converter is given in Fig. 3.7. It is a cycloconverter with polyphase outputs. Again, this approach uses three three-pulse controlled converters to create the three output voltages. Each converter has six thyristors, three to carry positive load current and three to carry negative load current often with a transformer with three complete sets of three-phase secondary windings. It is of interest to note that the nature of the load supplied by the cycloconverter is unimportant. In particular, there is no difference
whether the load is active or passive because operation is possible in all of the four quadrants.

When considering the effects of a converter on the line-side currents, it is helpful to remember that a symmetrical three-phase system of sinusoidal voltages and currents results in constant net power. Since the cycloconverter contains only switches but no storage devices (apart from the unavoidable leakage inductances, protective circuits, etc.), the total three-phase input power corresponds to the output power. Nevertheless, there will be reactive power at the line side, which is inherent in the control of line-commutated converters by delayed firing.

**Matrix frequency converters.** A *matrix converter* is an AC/AC converter with \( m \times n \) bi-directional switches, which connect an \( m \)-phase voltage source to an \( n \)-phase load. Matrix converters may become attractive at low power rating as an alternative to cycloconverters.

The most widely used configuration is the three-phase 3×3 switches matrix converter shown in Fig 3.8. With a suitable switching strategy, arbitrary voltage at arbitrary frequency, number of phases, phase, etc. can be syntheses using 27 switching states from 9 switches. With this general arrangement, the power flow through the converter can reverse. Because of the absence of any energy storage element, the instantaneous power input must be equal to the power output, assuming idealized zero-loss switches.
In Fig. 3.9, the possible realization of the matrix frequency converter is given as a forced-commutation converter that provides the output voltages directly from the multiphase mains.

In this cross bar arrangement, the three load terminals are alternately connected to the three supply terminals. The limit frequency is only constrained by the capabilities of semiconductors. For the control of the matrix converter, the pulse-width modulation, pulse-amplitude modulation, and vector-modulation principles are used. However, the phase angle between the voltages and currents at the input can be controlled and does not have to be the same as at the output. In addition, the form and the frequency at the two sides are independent. The switches in a matrix converter must be bi-directional, that is, they must be able to block voltages of either polarity and be able to conduct current in either direction. This switching strategy permits the highest possible output voltage; at the same time the reactive line-side current is reduced since the current flows only in the center region of the line voltage periods. Such switches are not available and must be realized by a combination of the available switches. Capacitive filters can remove the high frequency components of the line currents. There are also limits on the ratio of the magnitudes of the input and output quantities.
Summary. The main advantage of the direct frequency converters is that they do not contain energy storage in the intermediate circuit. Thanks to the direct conversion input to the ac output frequency, they are very effective. The common used direct frequency converters are naturally commutated cycloconverters, but their disadvantages are very low frequency output, which cannot be higher than 0.4 of the supply frequency. The power factor of cycloconverters is also low. That is why matrix frequency converters are the most prospective.

3.3. DC Link Converters

PWM converters. A PWM converter represents the frequency converter with dc link. It consists of a non-controlled or controlled rectifier, and an inverter with pulse-width modulation. The maximum power of such converter may approach megawatts. Commonly, a converter is connected to the supply line through the chokes that defend mains from the converter’s non-linear distortions. The frequency converter with a dc link is shown in Fig. 3.10. It comprises a load-side VSI followed by line-side non-controlled rectifier.

Fig. 3.10

The three-phase bridge rectifier was discussed above. The low-frequency ripple of the output voltage of the rectifier has a low value because of filtering by the capacitor and/or the smoothing inductor. The inductor reduces the ripples and pulse spikes and limits fault currents. The freewheeling diode shunts the inductor for lowering its influence when the switches are off. The relatively large (2000 – 60000 microfarads) electrolytic compensative capacitor defends the dc link from overvoltages. It “stiffs” the link voltage and provides a path for the rapidly changing currents drawn by the inverter. It is one of the major cost items of the system. Sometimes, this capacitor is shunted by the RC circuit, which lowers the high-frequency obstacles. Ones the converter is switched on, the capacitor charges and limits the startup current of the circuit.

Instead of transistors, other electronic devices, which are capable of being turned off may be used, for instance thyristors with external commutation network, GTO, or MCT. The modes possible with this circuit are twofold:
- the unidirectional link current allows the use of a two-quadrant operation where reverse power flow is achieved by the transistors control;
- the link capacitor permits the dc current to be temporarily raised or lowered during commutation of the load-side inverter under constant voltage.

The feedback diodes of the inverter provide an alternate path for the inductive current when the switches are turned off. The diodes return the regenerated power to the dc link, which will raise the link voltage above its normal value and steps must be taken to absorb this regenerated power to prevent a dangerous link voltage buildup. Typically, a resistor is switched in parallel with the dc link capacitor to absorb this energy or the input bridge is made bi-directional by adding a second inverse parallel bridge. Due to the diode bridge rectifier the circuit has some disadvantages:

- the ac line current waveform is non-sinusoidal, therefore the high level of interference can couple to other equipment and disturb their normal operation;
- the harmonic current distortion results in a distortion of the voltage and can affect the performance of other equipment connected to the power supply system;
- four-quadrant operation is impossible because electric power can only be transferred in one direction;
- such circuit cannot operate in the machines with often startups, breaking, and current direction change.

Double-PWM active front-end converter can provide a solution for many of these problems. The converter with a controlled rectifier, shown in Fig. 3.11, can transfer energy in either direction, depending on the switching sequence. The circuit diagram of the PWM rectifier is similar to an offline inverter, but it operates as a converter synchronized by supply line. Its advantages deal with allowing a flexible bi-directional energy transition to and from the load back to the power supply line. A simple energy redirection results in implying the symmetrical circuit and a wide range below and above the supply frequency. For correct operation, it usually requires some minimum value of inductance in the line to avoid damage during switching. Line chokes may need to be added if a supply has a high fault level (low-source impedance).

![Fig. 3.11](image.png)

**Current source frequency converter.** The current source converter shown in Fig. 3.12 is known as a thyristor converter with impressed direct current supply. It comprises ones again a line-side and a load-side converters connected by a dc link.
A smoothing reactor $L$, which, in combination with a current control loop, serves to maintain a direct link current as prescribed by the current reference $I_{\text{ref}}$, now decouples the two converters operating at different frequencies. The modes possible with this circuit are twofold again:

- the unidirectional link current allows the use of a two-quadrant operation where a reverse power flow is achieved by inverting the mean dc link voltage through delayed gating;
- the link reactor permits the dc voltage to be temporary raised or lowered during commutation of the load-side inverter under constant current.

This converter is useful for the dynamic performance loads. Apart from its simplicity and because of the link reactor and the ease of protection in the case of commutation failure, it has the advantage of little audible noise due to the absence of PWM. However, there are considerable additional losses caused by the large harmonic content in the currents.

**Summary.** AC/AC converters with dc link have a very broad use. The minimum power of such converters is measured by watts, and maximum may approach megawatts. The best models can transfer energy in either direction, depending on the switching sequence. Nevertheless, these circuits have typical drawbacks. The high level of their voltage distortion affects the performance of other equipment connected to the power supply system.
4.1. DC Voltage Regulators

**Classification.** DC/DC converters transform a dc of one magnitude to a dc of other magnitude. They are classified as linear and switching converters. The fundamental difference is that a linear converter regulates a continuous flow of current from the input to the load in order to maintain a required load voltage. Linear converters are chosen for low-power, board-level regulation and in circuits where a quiet supply is necessary, such as analog, audio, or interface circuits.

A switching converter regulates the same current flow by chopping up the input voltage and controlling the average current by means of on-time (duty cycle) percentage. When the load requires the higher current, the duty cycle is increased to accommodate the change. DC/DC converters built on choppers are the most popular converters among the modern low and middle power supplies.

**Load regulation.** The quality of a power supply depends on its load voltage, load current, load regulation, and other factors. *Load regulation*, abbreviated *LR* (also called the *load effect*), is the change in regulated output voltage when the load current changes from minimum to maximum:

\[
LR = U_{NL} - U_{FL}
\]

where \(U_{NL}\) is the no-load terminal voltage, and \(U_{FL}\) is the rated full-load terminal voltage. Load regulation is often expressed as a percentage by dividing the load regulation by the full-load voltage and multiplying the result by 100 percent:

\[
\%LR = \frac{(U_{NL} - U_{FL})}{U_{FL}} \cdot 100.
\]

Accordantly, a *voltage regulator* is a circuit that holds the dc load voltage constant despite large changes in line voltage and load resistance. It is a very stiff dc voltage source. This means that the output resistance is very small, almost zero.

A controller for the load regulation can have *feedback* from the output or a *feedforward* from the input of the converter to have better regulation of power and immunity to load and line variations (Fig. 4.1). The main purpose of this controller is to keep the output at some desired level set by the reference. The basic form of a closed loop control system utilizes the measured feedback signal and compares it with the desired reference.

**Series voltage regulator.** A simple transistorized regulation circuit with Zener diode is shown in the circuit of Fig. 4.2. This *series voltage regulator* has a transistor \(T\) placed in series with the load. The transistor acts as a variable resistor to compensate for changes in input voltage \(U_{in}\). Typically, \(U_{in}\) has peak-to-peak ripple of about 10 percent of the dc voltage. The collector-emitter resistance of the pass transistor varies automatically with changes in the circuit conditions as follows.
The Zener diode $D$ establishes the bias voltage placed on the base of the transistor. For this purpose, the resistor $R_1$ senses voltage variations across the dc output $U_{out}$ caused by changing $U_{in}$. When this circuit is operated properly, if the voltage $U_{out}$ across the load increases, the rise in emitter voltage makes the base less positive. The current through the transistor will then be reduced, which results in the increase in the collector-emitter resistance. This increase in resistance will cause a larger voltage drop across the transistor, which will in turn compensate for the change in voltage across the load. Opposite conditions would occur if the load voltage were to decrease. Here, the greatest transistor dissipation occurs when the load current is maximal.

Since the ripple is equivalent to a periodic change in the output voltage, a voltage regulator degenerates the ripple that comes in with the unregulated input voltage. The *ripple rejection* $U_{r(in)}/U_{r(out)}$ is normally 10000 or more. Many variations of this circuit are used in regulated power supply today. A number of pass devices may be employed within voltage regulator circuits – single $n$-$p$-$n$ and single $p$-$n$-$p$ transistors, Darlington $n$-$p$-$n$ pairs, and MOSFET devices.

There are two components to power, which are dissipated in the regulator; one is a function of $U_{in} - U_{out}$ and load current, plus a second, which is a function of $U_{in}$ and grounding current. Obviously, the magnitude of the load current and the regulator dropout voltage both greatly influence the power dissipation. The second term, usually only becomes significant when the regulator is unloaded, and the converter's quiescent power then produces a constant drain on the source $U_{in}$. However, it should be noted that in some types of regulators the ground current under load could actually run quite high. This effect is worst at the onset of regulation, or when the pass transistor is in saturation and can be observed by a sudden ground current spike, where the current jumps upward abruptly from the low level.

**Shunt voltage regulator.** The circuit in Fig 4.3 is a *shunt voltage regulator* sometimes known as *amplified Zener*. Again, the Zener diode $D$ is used to establish a constant bias level. Therefore, only the resistor $R_1$ will sense voltage variations across the dc output $U_{out}$. If
the output voltage $U_{out}$ rises, an increased positive voltage will be present at the base of the transistor $T_1$. The increased forward bias on $T_1$ will cause it to conduct more, which makes the base of the transistor $T_2$ more positive. Transistor $T_2$ will conduct more heavily. Increased current flow through both transistors causes an increase in the voltage drop across $R_1$, which will then counterbalance the rise in output voltage $U_{out}$. Thus, the direct output voltage will remain stabilized. Decreases in the direct output voltage will cause the circuit action to reverse. Shunt transistor voltage regulators are used extensively in independent power supplies. Here, the greatest transistor dissipation occurs when the load current is the least.

**Compound voltage regulator.** Fig. 4.4 illustrates a compound voltage regulator. Full load current passes through the pass transistor $T_2$. A voltage divider samples the output voltage $U_{out}$ and delivers a feedback voltage to the base of $T_1$. Any change in the output voltage produce an error voltage that automatically compensates for the attempted change. For instance, if $U_{out}$ tries to increase, more feedback voltage is fed back to the base of $T_1$, producing a larger $T_1$ collector current through $R_3$ and less base voltage at $T_2$. The reduced base voltage of $T_2$ emitter follower results in less output voltage. Similarly, if the output voltage tries to decrease, there is less base voltage at $T_1$, more base voltage at $T_2$, and more output voltage.

Because of the closed-loop voltage gain $K = (R_1 + R_2) / R_1$, one can use a low Zener voltage (about $-4V$) where the temperature coefficient approaches zero. The amplified output voltage then has the same temperature coefficient. The potentiometer allows adjusting the output voltage to the exact value required in a particular application. As a result, $U_{out}$ remains constant, despite changes in the line voltage or load current.

**Summary.** The linear converters offer the designer four major advantages:

- simplicity and low cost,
- quiet operation and load-handling capacity,
- little or no electrical noise on output,
- very short load response time.
For these good features, linear regulators have been valuable system components since the early days. However, there are disadvantages that limit their range of application:

- they can be used only for a step-down regulation;
- in off-line applications, a transformer with rectification and filtering must be placed before them;
- each linear regulator can have only one output;
- low efficiency of 30 to 60% in normal applications results in headroom loss in the transistor.

Because of this, linear regulators tend to get bulky at power applications.

4.2. Step-Down Choppers

Choppers. The switching supply is a much more versatile choice, with a wider range of applications than the linear regulators. The main functions of choppers or switching dc converters are:

- to change voltage levels;
- to provide galvanic disconnection of electronic circuits;
- to stabilize output voltage.

Choppers circumvent most of the linear regulator’s shortcomings:

- exhibit efficiencies of 65 to 90% regardless of the output voltage, thus drastically reducing the size requirement of the heat sink;
- because of their high frequency of operation, the magnetic and capacitive elements used for energy storage are small and the cost of switching supply is lower than that of the linear regulator;
- output voltage can vary above and and/or below the level of the input voltage;
- when the input voltage is chopped into an ac waveform and placed into a magnetic element, additional windings can be added to provide for more than one output voltage.

The disadvantages of the switching converters are minor:

- more complication;
- considerable noise on its output and input that they radiate into the environment;
- considerable slow transient response time that they take to respond to changes in the load due to time-limited pulses of energy.

The basic converter’s topologies are step-down and step-up.

Two basic modes of operation constitute the foundation of all choppers. These result in forward-mode operation and flyback-mode operation. Both classes have four elements as their functional components:

- a power switch;
- a rectifier (catch diode);
- an inductor;
- a capacitor.
**Single-quadrant forward choppers.** In a step-down *forward chopper*, the power switch $T$ is placed directly between the input voltage source $U_{in}$ and the load (Fig. 4.5, a) or before the filter section (Fig. 4.5, b). The switch can be a conventional SCR, a GTO thyristor, or a transistor (MOSFET, IGBT, etc). It serves only to replenish the energy lost to the load during the switch off time. If the switch is a GTO thyristor, a positive gate pulse will turn it on and a negative gate pulse will turn it off. When a transistor switches, the base current or gate voltage controls the on and off periods of the switch. If the switch is an SCR, a commutation circuit is required to turn it off.

The shunt (flywheel) diode $D$, series inductor $L$, and shunt capacitor $C$ form an energy storage reservoir whose purpose is to store enough energy to maintain the load current over the entire off-time of the switch.

Usually, the output voltage is changed with the switch by PWM; therefore the voltage applied to the load has the form of a square wave of varying periodicity (Fig. 4.6, a). The operation of the forward converter can be broken up into two phases. The first is when the switch is on. During this period, the current passes from the input source through the inductor to the load. Turning-on the switch increases the inductor current because the diode is reverse-biased. After the switch turns off, the inductor still expects current to flow through it. The diode now begins to conduct and the load current freewheels through the diode, thus maintaining a closed current loop through the load. Then the switch is turned on again and the cycle repeats. Note that the output voltage is a chopped voltage derived from the supply voltage, hence the name “chopper”.

![Fig. 4.5](image-url)
In such a way, the load current fluctuates in magnitude as shown in Fig. 4.6, a, but is likely to be continuous. With low inductance, the load current may fall to zero during the off periods of the switch (dotted lines in Fig. 4.6, a). The chopped source current makes the peak input power demand high. Also, the supply current has harmonics, which produce voltage fluctuations, signal interference, etc. LC input filter (Fig. 4.5, c) will provide a path for the ripple current such that only the average current is drawn from the supply. The resonance frequency of the filter is

\[ f_c = \frac{1}{2\pi\sqrt{LC}} \]

thus, to avoid resonance, the chopped frequency should be \( f_{ch} > (2\text{ to }3)f_c \).

**Duty cycle.** Let the repetition period \( T = t_{on} + t_{off} \) be designated as \( 2\pi \) radians. Since the independent variable is chosen as \( \omega t \), the periodic time of the overall cycle is \( 2\pi / \omega \). The frequency of the chopper operation is the inverse of the periodic time, \( f_c = \omega / (2\pi) \). Typical chopping frequencies are usually in the range 100 < \( f_c \) < 1000 Hz for thyristor choppers and up to 20 kHz for transistor choppers. In low power applications, MOSFET switches can be used of frequencies in excess of 200 kHz. The on period of the chopper is

\[ t_{on} = qT = 2\pi q \]

where \( q \) is the duty cycle. The terms \( t_{on}, t_{off} \) now serve the double purpose of identifying the construction state of switch and also defining its period of conduction in radians. Therefore,

\[ q = t_{on} / T \]

where \( t_{on} \) is the pulse width and \( T \) is the PWM cycle. The time mean value of the output voltage assuming continuous current mode is given by

\[ U_{out} = qU_{in} \]

hence, the output voltage varies linearly with the duty cycle of the chopper, as continuous traces show in Fig. 4.6, b. Dotted traces describe a discontinuous current mode. If the load resistance is \( R \) and the rated load current is \( I \), then the mean value of the voltage should be \( RI \), therefore,

\[ q = RI / U_{in} \]

The turn-on time duration is

\[ t_{on} = q / f_c \]

The rms value of the load voltage waveform is given by
The ripple factor defining the ratio of the ac components to the average value is given by
\[ r = \sqrt{(1 - q) / q}. \]
For full conduction \( q = 1 \) and \( r = 0 \).

**Two-quadrant forward chopper.** The previously described circuit is only capable of supplying unidirectional current and voltage to the load. Industrial applications of this circuit are normally limited to loads below 5 kW. Traction applications, however, are designed at ratings of hundreds of kilowatts. A circuit that is capable of two-quadrant operation is shown in Fig. 4.7, a. During the first phase, the converter operates as the basic chopper with \( T_1 \) and \( D_2 \) carrying the current. The current flows through the load while the transistor \( T_1 \) is in on state.

When the transistor \( T_1 \) is in off state, the reactive energy of the load dissipates through the discharge transistor \( T_2 \). During the second phase, \( T_1 \) is inoperative and \( T_2 \) controls the current, which builds up negatively, limited by the load inductance. When \( T_2 \) turns off, the only path for the current is via \( D_1 \) back into the supply; hence the circuit is regenerative (Fig. 4.7, b). Thus, this converter is able to reverse the current flow of the load but unable to reverse the load terminal voltage, as the load curve in Fig. 4.7, c shows.

**Four-quadrant forward chopper.** To drive the loads having intermittent duty with both voltage polarities in addition to overcoming both current directions, switched transistor converters with a constant direct supply are often used.
Fig. 4.8, a shows the four-quadrant forward converter. The four transistors in the bridge circuit are pulse-width modulated in diagonal pairs with the stringent conditions, that there must be no overlap of the conducting intervals in order to avoid the short circuiting the dc source, thus endangering the transistors. The anti-parallel diodes provide paths for the load current independent of the switching state of the transistors. This is necessary because at the high switching frequency the current through the inductive load is nearly always continuous. The diodes can, depending on the type of switch, be integrated into the semiconductor or may be part of a module, containing most or all of the converter components.

The circuit in Fig. 4.8, a is considerably simplified. Leakage inductances and deliberately introduced capacitive and inductive components aimed at reducing the switching losses in the transistors are omitted.

The two control methods of the bi-directional circuit shown in Fig. 4.8, a are: a symmetrical control and an asymmetrical control. Idealized waveforms of some voltages are seen in Fig. 4.8, b.

When the symmetrical control is used, the four switches change their state simultaneously. During the first phase, positive output transistors $T_1$ and $T_4$ are switched on in the on period...
and diodes $D_2$ and $D_4$ conduct in the off period. When $D_2$ and $D_4$ conduct, the load supply is reversed. Consequently, the voltage is reduced to zero at 0.5 duty cycle. Any reduction of duty cycle below 0.5 will cause the output voltage to reverse but the current may save the same direction; hence the load is regenerating. If the pulse length is less than the half period, there is a negative voltage on the load. If the pulse length is more than the half period, there is a positive voltage on the load. With transistors $T_2$ and $T_3$ conducting, the current is reversed and hence, the full four-quadrant operation is obtained as the load curves Fig. 4.8, c show. The disadvantage of the method is that the amplitude of the output ripple voltage is twice of that of the simple converter, and the current ripple is therefore worse due to a high ripple factor. This problem can be overcome by a technique known as the asymmetrical control. If the load is resistive-inductive, the asymmetrical control is preferable. In this mode, the switches $T_3$ and $T_4$ change their state while the switch $T_1$ is open and the switch $T_2$ is closed. In such a way, when the switches change their state the current transfer is smoothed.

**Transformer-isolated forward chopper.** In between the switch and the filter section there may be a three-winding transformer for stepping up or down the voltage as shown in Fig. 4.9. Electrical isolation on the base of transformer is required in the switch-mode dc power supplies for three reasons: safety (isolation of low-voltage dc output from the supply to avoid the shock hazard), different reference potential, and voltage matching (to avoid large voltage and current rating of semiconductor devices). The third winding in series with diode $VD_1$ and the diode $VD_2$ provide the core demagnetizing every switching cycle.

The transformer-isolated forward converter passes the energy when the switch is on and the operation cycle includes two phases: the working phase and the loose running. In the first phase, the primary current flows through the closed switch, built on MOSFET and induces the current in the secondary winding of the transformer. The secondary current charges the capacitor. In the second phase, the diode is reverse biased due to the self-induction of a transformer. Therefore, the load current occurs only because of the discharge of the capacitor.

When the switch turns off, there is no full energy discharge of the transformer and the overvoltage may occur in the windings. To prevent the overvoltage, the additional winding with a diode is commonly used. The mass and weight of such a transformer will grow; it is the main drawback of the forward circuit. The amount of energy being delivered to the load is controlled by the duty cycle of the power switch on-time period. This may vary anywhere between 0 and 1 duty cycle and typically falls between 0.05 and 0.95. For light loads assume approximately
Buck regulator. A buck regulator is a forward converter with a feedback. In Fig. 4.10, a, the regulator has a unidirectional current and one voltage polarity that allows controlling the output voltage below the input supply voltage. Therefore, it is called a step-down regulator. The series-pass transistor $T$ in this circuit responds as a switch. Usually, this is done by controlling the duty cycle of the switching. The dc output has a square-wave characteristic. Then, chopped dc feeds into the load.

The PWM controller, presented in Fig. 4.10, b adjusts the duty cycle to commit required actions to keep the output voltage at a desired level. Thanks to the negative voltage feedback, the regulator does not allow the pass transistor to operate in the active region. Instead, it alternately saturates and cuts off the pass transistor. This results in low power dissipation in the transistor.

A sensing circuit that detects a change of the output voltage controls the operation of the switching regulator. An increase in the power supply load caused by a decrease in load resistance normally reduces the output voltage. As shown in Fig. 4.10, b, the converter output voltage is measured by the voltage sensor and compared with its reference value within the first comparator. In the second comparator, the error between the two voltages is compared with a ramp signal supplied by the sawtooth generator. The comparator output represents the switching function whose pulse width can be modulated to regulate the output of the converter.
In this way, a decrease in voltage causes an increase in the PWM frequency. The PWM output is then applied to the gate of the transistor over the gate *driver*. An increase in a gate frequency causes a corresponding increase in the chopper output. This causes an increase in dc output to compensate for the change in load.

A decrease in the load normally raises the output voltage. To compensate for this, the sensor detects the increased output voltage. An increase in output voltage causes a decrease in PWM frequency. This causes a decrease in the chopping rate. Lower frequency causes a corresponding regulation in dc output.

In a sense, the dc output is regulated by the value of its chopping frequency. The chopping circuit normally operates at the frequency of the 10 to 20 kHz. The output characteristics of the buck converter depend on the duty cycle. When the load current $I_{\text{out}}$ decreases, the converter passes from the continuous operation to the discontinuous operation and the voltage $U_{\text{out}}$ increases as shown in Fig. 4.10, c.

**Summary.** The main features of the step-down choppers are:

- unlimited current and voltage speed up and speed down during transients lead to high dynamic power losses;
- the absence of inverse voltage on the switch;
- the load curves dependence on the load parameters (inductance, resistance) and non-linearity of the load curves.
4.3. Step-Up Choppers

**Flyback converters.** The step-down converters produce output voltages lower than the input voltage. However, a change in the chopper configuration provides higher load voltages. In step-up flyback converter (Fig. 4.11), the inductor \( L \) is placed directly between the input source \( U_{in} \) and the switch \( T \). The anode lead of the rectifier \( D \) is placed on the node where the switch and inductor are connected, and the capacitor \( C \) is placed between the rectifier output and the ground.

![Fig. 4.11](image)

The flyback operation can be broken into two periods. When the power switch is on, current is being drawn through the inductor, which causes energy to be stored within its coil material. The inductor current increases almost linearly. The switch then turns off. Since the current through the inductor cannot change instantaneously and is forced to flow through the diode and the load, the inductor’s voltage reverses (flies back). This causes the rectifier to turn on, thus dumping the inductor’s energy into the capacitor. The inductor current decreases. This process proceeds until the energy of the inductor is emptied. Since the inductor voltage flies back above the input voltage, the voltage of the capacitor becomes higher than the input voltage. When the capacitor voltage reaches the desired level, the switch turns on ones more. The capacitor cannot discharge via the switch, as diode is reverse biased. In this way, a stable voltage typically twice the \( U_{in} \) or more can be obtained. So far as

\[
U_{out} = U_{in} \left( \frac{t_{on} + t_{off}}{t_{off}} \right) = U_{in} / (1 - q),
\]

for a variation of \( q \) in the range \( 0 < q < 1 \), the output voltage will vary in the range \( U_{in} < U_{out} < \infty \). This circuit is particularly useful when operating on low-voltage supplies and can lead to very cost-effective converter designs.

The transformer-isolated flyback converter shown in Fig. 4.12, a is commonly used in applications below 100 W. The flyback circuit is similar to the forward transformer-isolated converter but its secondary winding is reverse connected. Its two mutually-coupled coils have such orientation that at the instant when the transistor is turned off, the current switches to the second coil to maintain the same flux in the core.

During the first phase, turning on the transistor applies the input voltage across the primary coil. The primary winding stores the energy, and the primary current is growing up as shown in Fig. 4.12, b. Due to increasing the flux, the induced voltage across the secondary coil adds to the output voltage to reverse bias the diode.
After the on-interval the switch turns off and the polarity of the windings changes due to the self-induction phenomenon. The diode opens, the secondary current charges the capacitor, and the primary current falls. There is no high overvoltage in this case, though a disadvantage of the converter is the need for snubbers to prevent voltage spikes across the transistor and diode due to leakage inductances associated with the two coils.

The relationship of the output voltage to the input voltage is approximately equal

\[ U_{out} = U_{in}(1 - q)\omega_2 / \omega_1 \]

where the duty cycle \( q \) is between 0 and 0.5.

**Push-pull circuit.** Operating voltages in computing and communication equipment have a tendency to drop to an order of 1 V and less. At low voltages, the diode (even a Schottky diode) has unacceptably high voltage drop across it in comparison to the output voltage, resulting in extremely poor converter efficiency.

The push-pull principle of dc converting helps to build more effective bi-directional circuits of 200 to 700 W powers. The two-phase *push-pull converter* is shown in Fig. 4.13. The two switches are driven by almost complementary gate signals (some delay time, where both signals are low is necessary to avoid the shoot-through of current through the two transistors). The circuit includes the transformer with a center tap and the two-phase rectifier. During the first period, the switch \( T_1 \) is closed and the switch \( T_2 \) is open. The current flows through the diode \( D_1 \) and charges the capacitor. This results in a very low voltage drop across the lower switch. At light load conditions, the transformer current may be allowed to become negative without becoming discontinuous, flowing from the drain to source of the lower switch. During the second period, \( T_1 \) is open and \( T_2 \) is closed. The current flows through the diode \( D_2 \) and charges the capacitor. In such a way, the energy supplies the load both periods.
Boost regulator. A step-up or boost regulator shown in Fig. 4.14, a is the flyback regulator with a feedback. It allows to get the output voltage higher than the input supply voltage. Such topology is used in supplies, active filters, and compensators of reactive power.

If the switch $T$ conducts current, the part of the supply energy is stored in the magnetic field of the coil $L$. The diode $D$ breaks the load supply so the capacitor $C$ cannot discharge through the switch. When the switch turns off and breaks the shunt circuit, the current from the inductor commutates through the diode and the load. Thanks to the coil energy, the output voltage is greater than the input voltage. The output voltage of the converter depends on the relative duty cycle of the switch (Fig. 4.14, b). The ability of the boost regulator to prevent hazardous transients or failures within the supply is quite poor. Therefore, the transformer isolation vastly improves this condition.

Summary. A DC/DC converter, which produces a voltage higher than supply voltage, must accumulate energy in the input reactive element (inductor) and pass it into the output reactive element (capacitor) independently, in different time intervals. The control of these processes is provided by mean of the duty cycle changing with or without feedback.

4.4. Universal Choppers

Step-down and step-up chopper. A chopper configuration, which provides load voltages lower and higher than the supply voltage, is shown in Fig. 4.15. Like in the step-down chopper, its power switch $T$ is placed directly between the input voltage source $U_{in}$ and the filter section. Diode $D$, series inductor $L$, and shunt capacitor $C$ form an energy storage reservoir.
When the switch is on, the inductor is connected to the supply voltage and the inductor current increases almost linearly. While the switch is off the inductor current flows through the load and diode. The inductor voltage changes the polarity and the inductor current decreases. So far as

\[ U_{out} = U_{in} \cdot \frac{t_{on}}{t_{off}} = qU_{in} / (1 - q), \]

for a variation of \( q \) in the range \( 0 < q < 1 \), the output voltage will vary in the negative range \( 0 < U_{out} < -\infty \).

**Buck-boost regulator.** A buck-boost regulator is a form of flyback regulator, whose operation is very closely related to the boost regulator. It is also known as an inverting regulator. The difference between the boost and the buck-boost regulators, as seen in Fig. 4.16, is that the positions of the switch and the inductor have been reversed.

Like the boost regulator, the inductor \( L \) stores energy during the power switch-off time. This stored energy is then released below ground through the rectifier \( D \) into the output storage capacitor \( C \). The result is a negative voltage whose level is regulated by the duty cycle of the switch that is also limited to the duty cycle of the power switch below 0.5. The buck-boost regulator can suffer from catastrophic failure modes similar to those of either the buck or the boost regulator separately. This topology can reasonably be used only when a transformer is placed between the regulator’s input and the input power source.

**Cuk regulator.** Named after its inventor, the Cuk regulator is shown in Fig. 4.17. Similar to the buck-boost converter, the Cuk regulator provides a negative polarity regulated output signal with respect to the common terminal of the input voltage. Here, the capacitor \( C_1 \) acts
as the primary mean of the energy storing and transferring from the input to the output. In a steady state, the inductors’ voltages are zero. Therefore,

\[ U_{C1} > U_{in}, \quad U_{C1} > U_{out}. \]

When the switch is off, the inductors’ currents flow through the diode \( D \). The current \( I_{L1} \) decreases because \( U_{C1} > U_{in} \). The energy stored in \( L_2 \) feeds the output. Therefore, \( I_{L2} \) also decreases. When the switch is on, \( U_{C1} \) reverse biases the diode. The currents \( I_{L1}, I_{L2} \) flow through the switch \( T \). The capacitor \( C_1 \) discharges through the switch and \( I_{L2} \) increases. The input feeds energy to \( L_1 \) causing \( I_{L1} \) to grow. Equating input and output powers in this converter leads to:

\[ U_{out} = qU_{in} / (1 - q), \]

which shows the same functionality as the step-down and step-up chopper. One of the advantages of the Cuk converter is that this circuit produces continuous current without any filters. Its uninterruptible output current reduces the required capacity of the output capacitor.

**Summary.** The most universal DC/DC converters step up and step down the load voltage, support single-, two- and four-quadrant operation, and do not require additional filters and reactive elements. The powerful and fast switching devices are the necessary components of such circuits.
5.1. Snubbers and Clamps

**Functions and types.** The switching of the loads with the reactive components, such as a resistive-inductive load, has some particularities. These components store energy, therefore, the switch should withstand high current peaks or high voltage spikes. Due to the *commutation transients*, the signal shape is distorted and additional *switching losses* occur in the converters. In order to overcome the danger of overheating and overvoltages, semiconductor switches are equipped with protection circuits and damping chains, so named *snubber circuits*.

The function of a snubber circuit is to reduce the electrical stresses, placed on a power device during switching to the levels that are within the rating of the device, by the number of methods:

- limiting voltages applied to switch during the turn-off process;
- limiting the rate of voltages rise across switch during the turn off;
- limiting device currents and their rate of rise during the turn-on transients;
- limiting the rate of currents rise through the devices at turn on;
- shaping the switching trajectory of a device as it turns on and off.

From the circuit topology prospective, there are three broad classes of snubber circuits:

- unpolarized series *RC* snubbers used to protect diodes and thyristors by limiting the maximum voltage, its rate of rise, and reverse recovery;
- polarized *RC* snubbers used to shape the turn-off portion of the switching trajectory of switches, to clamp voltages applied to the devices or to limit their rate of rise during the turn off;
- polarized *LR* snubbers used to shape the turn-on switching trajectory of switches and/or to limit current rating during the turn on of the device.

There are situations, however, in which a protection circuit that limits the maximum voltage at the switch is needed. This special circuit is referred to as a *voltage clamp*.

**Diode snubbers.** Snubbers are needed in power diode circuits to minimize overvoltages. These overvoltages occur due to a stray or leakage inductance in series with the diode. A simple unpolarized snubber consists of a resistor in series with a capacitor connected across the diode as shown in Fig. 5.1. During the decay of reverse recovery current the capacitor serves to limit the voltage spike. The energy stored in the inductance of the reverse recovery current loop serves to charge the capacitor, thereby reducing the spike. The resistor dissipates some of this energy, and, if suitably chosen, damps out oscillations in the circuit. Preliminary capacity value of the snubber may be selected as 1 to 2 $\mu$F and resistance value is equal to

$$\sqrt{L/C} < R < 2\sqrt{L/C}$$
where $L$ is the inductance of the commutation current loop. The resistor power should be approximately calculated as follows: $P = 450CU^2$ where $U$ is the phase voltage.

![Fig. 5.1](image1.png) ![Fig. 5.2](image2.png)

**Thyristor snubbers.** If the current in a thyristor rises at a rate too high, the device can be destroyed. Some inductance must be present or inserted in series with the thyristor so that $dI/dt$ is below a safe limit specified by the manufacturer (Fig. 5.2, a). A thyristor may turn on (without any gate pulse) if the forward voltage is applied too quickly. This is known as $dU/dt$ turn on and it may lead to improper operation of the circuit. A simple RC snubber, as shown in Fig. 5.2, a, is normally used to limit $dU/dt$ of applied forward voltage.

The simple polarized snubber circuit shown in Fig. 5.2, b, reduces turn-off voltage peaks. The resistor in the snubbing circuit is required to limit the discharge current of the capacitor at turn on. Frequently, the resistor has a diode in parallel in order to make the capacitor more effective at limiting voltage rate in the forward direction (Fig. 5.2, c).

In thyristor switches supplied the load through a dc rail or from a storage battery, the forced commutation chains are used. For switching off a thyristor, the current should be reduced below the holding value by the specific **closing circuitry** between the anode and cathode. This circuit contains a simple energy source – capacitor, which directs current against the thyristor current. When the current through the thyristor is required to be switched off at a desired instant, it is momentary reverse biased by making the cathode positive with respect to the anode. For this forced commutation, a **commutation circuit**, as shown in Fig. 5.3, a is used. Many forms of commutation circuits have been developed to force-commutate thyristors. As a rule, a preliminary charged capacitor is momentarily connected across the conducting thyristor to reverse bias it. If the diode is reverse biased, its current falls, becomes zero, then reverses and becomes zero again.

As an additional energy source, a **commutation thyristor** may be used. Fig. 5.3, b shows an example of the commutation circuit. The $D_1$ thyristor purpose is to transform a constant direct voltage into a lower adjustable voltage in order to control the load. When the auxiliary thyristor $D_2$ is conducting, the capacitor $C$ charges up to the output voltage. After the capacitor has been charged, the thyristor $D_2$ turns off. Once the main thyristor $D_1$ is switched on, the capacitor $C$ discharges through the commutation circuit of the diode $D_3$ and the coil $L$. Because of the coil inductance, the inverse current flows until the capacitor voltage equals the output voltage. The voltage of $D_1$ becomes negative and $D_1$ turns off. The capacitor charges through the load and $D_2$ again, and the process is repeated.
Another example of a commutation circuit is shown in Fig. 5.3, c. This circuit is sometimes known as the McMurray commutation circuit. When the main thyristor $D_1$ is on, the capacitor $C$ is charged. To turn off the thyristor, the auxiliary thyristor $D_2$ is fired. As a result, an oscillatory current pulse passes through $L$, $C$, $D_2$, $D_1$. This negative commutation current flows in a direction opposite to the load current already flowing through $D_1$. When these currents become equal, the current through $D_1$ falls to zero and $D_1$ turns off.

GTO thyristor must have a snubber circuit also. A polarized snubber consisting of a diode, capacitor, and resistor (Fig. 5.4) is used to limit the rate of voltage rise at turn off. Moreover, during the fall time of the turnoff process, the current of the device is diverted (known as current snubbing) to the snubber capacitor (charging it up). Unlike the SCR, forward voltage is reapplied immediately after turning off in this circuit.

**Transistor snubbers.** There are three basic types of snubbers for transistors:

- turn-off snubbers;
- turn-on snubbers;
- overvoltage snubbers.

The goal of the turn-off snubber is to provide minimum voltage across the transistor while the current turns off. Connecting a diode $RC$ chain across the transistor as shown in Fig. 5.5, a can approach this purpose. Such polarized circuit provides a low voltage across the
transistor while the current turns off. All the capacitor energy is dissipated in the resistor, which is easier to cool than the transistor. This circuit limits the peak current also.

The overvoltage at turn off due to the stray inductances can be minimized by means of the polarized snubber circuit shown in Fig. 5.5, b. The polarized snubber shown in Fig. 5.5, c reduces turn-on switching losses and limits the maximum currents. In addition, it reduces the voltage across the transistor as the current builds up.

The polarized snubber, shown in Fig. 5.5, d is used with power transistor circuits with an inductive load to avoid the simultaneous occurrence of the peak voltage and peak current. If no snubber circuit is used and the base current is removed to turn off the transistor, the voltage across the device first rises, and when it reaches the dc supply voltage, the collector current falls. The peaks of voltage and current occur almost simultaneously, and this may lead to a secondary breakdown failure. When the snubber circuit is used and the base current is removed to turn off the transistor, the collector current is diverted to the capacitor. The current, therefore, decreases as the collector-emitter voltage increases, avoiding the simultaneous occurrence of the peak voltage and peak current. Again, the transistor has no reverse blocking capability, hence, it is shunted by the anti-parallel diode if it is used in an ac circuit.

It should be mentioned that the snubber arrangement for multi-device circuits differs in details from the configurations used to protect single devices.

**Voltage clamps.** Voltage clamps are used exclusively for the avalanche (overvoltage) breakdown failure exclusion. This is when the voltage spike exceeds the limits of the switch. The rectified diode and Zener diode clamps are used, as shown in Fig. 5.6, a, b. All Zener diodes begin conducting very quickly but it is not obviously in the case of rectifier diodes.

The variation of the voltage clamp is what could be called a *soft clamp* (Fig. 5.7, a, b). These clamps return the spike’s energy to a “soft” current sink such as a capacitor.

Fig. 5.8 shows the overvoltage protection of two IGBT connected in series. The resistors maintain steady-state voltage balance by compensating the differences in device leakage currents. Very fast transients are balanced by the capacitors, which can be much smaller.
than for a similar circuit using BJT or thyristors. During switching, differences in delay from one device to another tend to lead to unbalanced voltages. The active clamp circuit formed by the Zener string and IGBT limits $U_{CE}$ to a value above the Zener breakdown voltage.

![Fig. 5.6](image)

![Fig. 5.7](image)

**Summary.** Snubbers and clamps have become a compulsory part of any power switch. They protect power diodes, thyristors, and transistors in switching operations and help them to withstand overvoltages and overcurrents.

![Fig. 5.8](image)

**5.2. Gate and Base Drivers**

**Preliminary considerations.** The primary goal of gate and base drivers is to switch a power semiconductor device from the off state to the on state and vice versa. In most situations, a designer seeks a low-cost driver circuit that minimizes the turn-on and turn-off times so that the device spends little time in traversing the active region where instantane-
ous power dissipation is large. The functions of the gate and base drivers are divided between control logic circuit and driver circuit. The first one is intended for:

- form the main and auxiliary discrete intervals in accordance with the control logic of semiconductor devices;
- produce the carrier signals;
- generate the control pulses of the power devices.

The drive circuit is the interface between the control logic and the switching device. Its functions are as follows:

- to shape pulse length, amplitude, and waveform;
- to amplify the control signals to levels required by the driving switch;
- to distribute pulses among the power devices;
- to provide electrical isolation when required between the switch and logic-level signal control circuits.

The basic topology of the drive circuit is dictated by its functional considerations:

- is the output signal unipolar or bipolar?
- can the drive signals be directly coupled or electrically isolated?
- is the output of the drive circuit connected in parallel with the switch or in series?
- is the drive circuit implemented to protect the switch from extra stresses?
- must the drive circuit provide a large output current (as for BJT) or large voltage (as for FET)?

**Thyristor control logic.** In ac systems, an electronic controller influencing the phase of the firing pulses normally performs a thyristor switching on as shown in Fig. 5.9.

```
\[ U_m \]
\[ U_c \]
\[ T \]
\[ G \]
\[ U_A \]

Fig. 5.9
```

Switching off is produced by means of natural commutation caused by the cycling of the supply voltages. The control logic circuit compares the output signal \( U_m \) of the controller with a saw-tooth periodic carrier signal \( U_c \) synchronized by the line voltage \( U_A \). For this purpose, the carrier signal generator \( G \) is used. Whenever the difference becomes positive for the first
time in each half period, the monostable $T$ produces a short pulse $I_G$, which, after amplification, passes through an isolating circuit to the gate of the thyristor to be fired.

It is obvious that the comparison of the modulated signal with the carrier signal represents a sampling method, at the same time providing a voltage-to-phase conversion. If, instead of a saw-tooth function, alternations of cosine wave are employed, the control curve becomes a straight line, i.e. the system assumes constant voltage gain $U_d/U_m$. The same effect is sometimes achieved by inserting an arcsine wave generator ($U_m = \arcsin U_{in}$) in the input channel of the firing circuit.

For the control of multiphase rectifiers and inverters, the multi-channel pulse distribution system is required. Here, by the logical multiplication the chain of dual pulses is produced as shown in Fig. 5.10. The advantage of the multi-channel pulse distribution system is the simplicity of the gate driver circuit and fast operation. With a dc supply, there is no natural commutation available, and other methods have to be employed to switch off the device.

**PWM transistor control logic.** PWM is the most popular method providing the transistor control logic for inverters, AC/AC converters, and choppers. To obtain balanced three-phase output voltages in a three-phase PWM system, the triangle carrier voltage waveform is compared with three sinusoidal modulated voltages that are $2\pi/3$ radians out of phase, as was shown in Fig. 2.13. There, three sinusoidal waves present the voltage reference signals and $U_{U}$, $U_{V}$, and $U_{W}$ are the output voltages of a converter. In such a way, voltage or current controller determines the firing instants for the transistors.

The disadvantage of this method is the bipolar modulation, which leads to high current ripples and a high reactive power produced by the converter. In addition, the phase voltages become asymmetrical due to the constant modulation frequency. Clearly, it results in a rising
control error between the sinusoidal reference and the piecewise output current or voltage and must be taken into account when designing a control system.

**Vectorial PWM transistor control logic.** A very effective method that is particularly suited for fast switching converters is called vectorial PWM because it represents an attempt to reproduce in a given time interval a voltage vector demanded by a controller. Unlike PWM, this method implements the six-step block control system discussed in the description of the inverters. For this purpose, a converter circuit shown in Fig. 2.9 is simulated by a switching model, shown in Fig. 5.11, a, where none of the legs is short-circulating the link voltage and each load terminal assumes a potential defined by the control.

![Fig. 5.11](image)

The timing diagram of the method corresponds to that in Fig. 2.11. Here one transistor in each leg must be blocked while the other is conductive, except for the short protective intervals, where both transistors are blocked and the load current flows through one of the shunting diodes. To prevent short circuit condition, each half bridge is modeled by a reversing switch indicated by a binary variable $S_i = 1, 0$, depending on whether the switch is in the upper or lower position. The switching state $(S_1, S_2, S_3)$ of the complete converter is then described by a three bit binary word having eight different values, including $(1, 1, 1)$ and $(0, 0, 0)$, called zero vector states, where the load terminals are short circuited at the upper or lower dc bus. The protective interval, which lasts only a few microseconds, can be assigned to a finite switching time in the converter model.

When writing the voltage vector in terms of line-to-line voltages, six distinct voltage vectors and two zero vectors result, as seen in Fig. 2.11 and Fig. 5.11, b and in the table below:

<table>
<thead>
<tr>
<th>$U_s$</th>
<th>$U_1$</th>
<th>$U_2$</th>
<th>$U_3$</th>
<th>$U_4$</th>
<th>$U_5$</th>
<th>$U_6$</th>
<th>$U_0$, $U_7$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$U_{Us}$</td>
<td>$2U_d/3$</td>
<td>$U_d/3$</td>
<td>$-U_d/3$</td>
<td>$-2U_d/3$</td>
<td>$-U_d/3$</td>
<td>$U_d/3$</td>
<td>$0$</td>
</tr>
<tr>
<td>$U_{Vs}$</td>
<td>$-U_d/3$</td>
<td>$U_d/3$</td>
<td>$2U_d/3$</td>
<td>$U_d/3$</td>
<td>$-U_d/3$</td>
<td>$-2U_d/3$</td>
<td>$0$</td>
</tr>
<tr>
<td>$U_{Ws}$</td>
<td>$-U_d/3$</td>
<td>$-2U_d/3$</td>
<td>$-U_d/3$</td>
<td>$U_d/3$</td>
<td>$2U_d/3$</td>
<td>$U_d/3$</td>
<td>$0$</td>
</tr>
</tbody>
</table>

Clearly, when going from one corner of the hexagon to the next, only one leg of the converter needs to change its state. The voltage of each phase is equal to $\pm 2U_d/3$, $\pm U_d/3$, or
zero. Voltage vectors are oriented along the axes of phases $U$, $V$, $W$. The amplitude of the space vector is equal to $U_d$ and during the voltage period it places one of the six positions. As a result, the vector's end travels on the hexagon or stops at zero. The vector path's deviation from the circle corresponds to the voltage and current distortions.

Compared to the PWM, vectorial PWM allows a higher phase voltage and thus a higher output power of a converter. The output voltage amplitude in PWM is $U_d / 2$. With the vectorial PWM, the amplitude is equal to the inner-circle radius of the hexagon, that is $U_d / \sqrt{3}$ or 15.5% higher than with PWM. However, this is achieved through abandoning the sinusoidal output that results in higher losses caused by higher harmonic components.

**Driver circuits.** Direct-coupled drivers with unipolar outputs are the simplest driver circuits. The block labeled IC in Fig. 5.12, a is an integrated circuit that provides a base current for the intermediate BJT $T_1$ that drives the main BJT $T_2$. Its inner timer establishes the switching frequency. The duty cycle is determined by the monostable delay, which sets the RC-circuit.

![Driver circuits](image)

Driving the gate of MOSFET is a process essentially similar to charging a capacitor. Because the MOSFET will not turn on until the gate voltage riches its threshold value, driving the gate through a low impedance to minimize turn-on delay is important. A device that is particularly well suited to driving MOSFET is the integral circuit known as a **MOS clock driver** (Fig. 5.12, b).

Thyristor and MOSFET gate drivers both must provide finite charge to the device that is a short pulse. However, whereas a negative gate current is required to turn off MOSFET, such current does little in turning-off thyristor. The notable exceptions are GTO and MCT. Therefore, a circuit as simple as that shown in Fig. 5.13 is often satisfactory for triggering a thyristor.

The thyristor is turned on by a gate pulse, which can be obtained from a firing circuit. When the gate pulse is applied, anode current builds up and the voltage across the device falls. When the device is fully turned on, the voltage across it is quite small (typically 1 to 2.5 V) and for all practical purposes the device behaves as a short circuit. The device switches on very quickly, the turn-on time typically being 1 to 3 μs. The width of the gate pulse is in the range of 10 to 50 μs with the amplitude in the range of 20 to 200 mA. The gate resistor re-
duces sensitivity the turn-on process to noise. Direct-coupled drivers with unipolar outputs are only suitable for driving output devices at reasonably low switching frequencies. Direct-coupled drivers with bipolar outputs shown in Fig. 5.14 require split dc supplies and are capable of driving switches on and off rapidly. They are suitable for high switching frequencies but are restricted for driving the grounded switches.

Electrical isolation of drive circuits can be achieved by means of transformers or optocouplers. An example is given in Fig. 5.9. In high voltage applications, such as dc transmission or static reactive power compensators, optical fibers may be used for transmitting the firing pulses, thus preventing electromagnetic interference.

Summary. Gate and base drivers provide the operation of power electronic devices in the power converters. Their design and the principle of operation define the quality, cost, and reliability of power converter.

5.3. Electromagnetic Compatibility

EMI. The presence of unwanted voltages or currents in electrical equipment, which can damage the system or degrade its performance, is called interference. A frequency spectrum of electromagnetic interference (EMI) covers a wide range from dc up to the GHz range. EMI frequencies of the radio spectrum 100 kHz to 100 MHz are known as radio frequency interference (RFI). EMI may be introduced into an electric circuit through the following paths:

- conducted over the power or signal cables by voltage dips, sags, swells, unbalance, harmonics, overvoltages, resonance oscillating, etc;
- radiated as electric fields with a high $dU/dt$ and magnetic fields with a high $dI/dt$.

EMI covers the following main groups:

- conducted low frequency (LF) interference (up to about 10 kHz): voltage dips and power interruptions, voltage sags and swells, voltage unbalance, power frequency variations, dc in ac circuits and vice versa, harmonics in ac networks (up to approximately 3 kHz), coupled LF voltages and currents;
- radiated LF interference (up to about 10 kHz): electric fields with a high $dU/dt$ and magnetic fields with a high $dI/dt$;
• conducted high frequency (HF) interference (from 10 kHz to 1 GHz): transient overvoltage due to lightning or switching, oscillating transients due to resonance, coupling HF voltages and currents;
• radiated HF interference (from 10 kHz to 1 GHz): electric fields with a high $dU/dt$ and magnetic fields with a high $dI/dt$;

One of the circuits, which participate in the interference, is the EMI source and another circuit is the EMI receptor or EMI victim that is upset by the interference energy. There must be a coupling path between the source and the receptor. There are two main classes of EMI sources:

• natural events such as lightning, electrostatic and cosmic discharges, etc;
• human-maid interference generated by equipment, commutation, and control applications.

The main artificial sources of EMI are:

• any circuit, which produces arcs;
• circuits that generate non-sinusoidal voltages, produce electric fields;
• circuits that generate non-sinusoidal currents, produce magnetic fields.

Any ac converter is a source of both conducted and radiated EMI.

**EMC.** Electromagnetic compatibility (EMC) refers to the ability of equipment to function satisfactorily without producing emissions that degrade the performance of other equipment and also are not affected by emissions from other equipment. The rapid growth in the use of nonlinear power electronic devices has increased the overall level of EMI in industry. To overcome the problem, there has been a rapid increase in the number of electronic control and communications devices, which operate at low voltages and high speeds and are susceptible to this high level of interference.

The digital control circuits, switch-mode power supplies, and other fast switching circuits can all contribute to emission. It is the power converter, which is an exceptionally strong source of emission because its fast changing output is connected directly to the external environment. Fast changing pulse edges with typical rise times of the order of 50 – 100 ns contain significant energy up to about 30 MHz. This voltage is presented both between output phases and also as a common-mode voltage between the phases and the earth. It results in the high-frequency current flowing to the earth through the load capacitances. High-frequency current causes unexpected voltage drops.

Harmonics suppression is an issue to be considered by the power electronic designer and suitable internal measures can keep such emission under control. There are three methods of reducing harmonic currents:

• first, installation of a choke and capacitors between the power supply and converter;
• second, the use of a harmonic series LC filter tuned for particular frequencies close to the equipment;
• third, the use of multiphase devices.
Reducing harmonics by chokes and capacitors. One of the most practical solutions is to install an inductance (choke) on the supply side of the power converter to effectively raise the inductive impedance between the converter and the power supply. The choke can be located internally on the dc link or connected externally at the input terminals of the converter.

The input bulk capacitor is usually placed between the power supply and the converter in the case of a dc power converter. Being relatively large in value, it has the responsibility of storing the high and low frequency energy required by the supply during each power cycle. It is usually made up of at least two capacitors, an electrolytic capacitor for the current harmonic components and a ceramic capacitor for the switching frequency harmonics. The input capacitor charges at low frequency and sources current over a much higher frequency range.

A further measure, which reduces the emission into both supply and load circuits is to fit a ferrite ring around the output cable power conductors. The ring fits around the power cores but not the earth.

Reducing harmonics by filters. The most common types of harmonic filters used in industry are series LC filters with some damping resistance. Filters may be of relatively simple single-tuned construction, but are usually the more sophisticated, 2nd or 3rd order filters to provide a wider frequency band. The typical power supply system with filters is shown in Fig. 5.15.

![Fig. 5.15](image)

The LC filter between the input line and the equipment usually serves a dual purpose. First, a tank circuit acts as a high frequency EMI filter, which reduces the conducted noise leaving the switching supply back into the input line, giving a reduction of typically 30 dB in the overall emission into the supply line. The low-pass cutoff frequency of this filter should be no higher than 2 or 3 times of the operating frequency of the supply. The capacitors must be safety types with voltage rating suited to the supply voltage with respect to the earth. Values in the range 100 nF to 2.2 μF can be used. The second purpose of this stage is to add small impedance between the input line and the bulk input capacitor (if presents). It reduces any transient voltages, spikes or surges. The filter specified by the load manufacturer should be used, and any limits on the cable length or capacitance and on the switching frequency should be adhered to.
The output LC filter section is called a **choke filter**. In the case of an ac load, it is a series inductor. In the case of a dc load, it is a series inductor followed by a shunt capacitor. Its purpose is to store energy for the load during the times when the power switches are non-conducting. It basically operates like an electrical equivalent of a mechanical flywheel. The main problem with harmonic filters is that they can become detuned over a period of time due to age, temperature or failure changes in the filter capacitance, changes in the inductance due to temperature or current, and small changes in the system frequency.

**Reducing harmonics by multi-pulse converters.** The use of converters of higher pulse numbers will greatly reduce the lower order harmonics. The frequencies of high order harmonics increase, therefore the shapes of input and output current approach to sinusoidal waveforms. Alternately, two converters of lower pulse numbers can be combined with a phase shift of $\pi/6$ radians to produce a system of higher pulse numbers. When several similar controlled converters are connected to the same bus, as shown in Fig. 5.16, some cancellation of harmonic currents takes place due to phase shifts between the firing angle of converters running of different speeds.

![Fig. 5.16](image)

**Standards.** The management of EMC falls into two categories:

- establishment of standards for the containment of EMI by setting maximum limits on emission from equipment;
- establishment of standards for the immunity of electronic devices, which will enable them to operate within interference.

The leading idea of all EMC related standards and regulations are that the product must have a certain level of immunity and the emitted interference level of the product should be below some rated limit. There are a great number of different standards covering all the as-
pects of EMC: conducted or radiated emissions, electrostatic discharge, electromagnetic field, electrical transients, line harmonics, and mains dips are just a few to mention. The International Electromechanical Commission (IEC) has established the IEC 61000 series of standards to cover EMC requirements.

In the European Union, the requirements for emission and for immunity derive from the EMC Directive 89/336/EEC, which delegates the actual limits for specific standards. There are generic standards as well as product specific standards. In the generic EMC standards, only two types of environments are defined:

- residential, commercial, and light industrial standards;
- industrial standards.

Product family standards and product specific standards override generic requirements if such standards exist. If there is no product family standard, one should follow the suitable generic or general standard, which in turn refers to different basic standards.

The baseline of the European EMC standards is that the basic standards describe the test procedures, and in some cases test instrumentation and calibration techniques, while most specific application standards usually define limits, severity levels, and compliance criteria. The EU EMC standardization field utilizes a range of standards produced by various national and international bodies, such as IEC, CISPR (International Specific Committee on Radio Interference), ETSI (European Telecommunications Standards Institute), and CENELEC (European Committee for Electromechanical Standardization). General frequency ranges of EMC are defined in CISPR 16-1:

- band A – 9 kHz to 150 kHz;
- band B – 0.15 MHz to 30 MHz;
- band C – 30 MHz to 300 MHz;
- band D – 300 MHz to 1 GHz.

Summary. To minimize the harmful influence of power converters on devices and humans, the converters must satisfy the EMC requirements, national, and international standards. Only such converters may be used in industry, business, and domestic applications.
Part 6. Experiments Using Electronics Workbench

6.1. Objective

Procedure. The practice includes a set of exercises in power electronics meant for Multisim from Electronics Workbench software. The exercises tasks are:

- development and calculation of power electronics circuits,
- selection of electronic components,
- schematic building,
- voltages and currents measuring,
- voltage and current waveforms analyzing,
- explanation and documentation of the results.

At the end of each exercise, compare calculated and experimental data. A report should include:

- experimental circuit diagram,
- resulting and comparative data tables,
- voltage and current traces with indication of axes scales,
- dependencies diagrams,
- conclusions with result explanation.

Required Components and Instruments

- Sources: ground, dc voltage source, ac current source, voltage-controlled voltage source, current-controlled voltage source, pulse voltage source, and clock source.
- Basic: resistor and potentiometer, capacitor, inductor and variable inductor, switch and voltage-controlled switch.
- Diodes: diode, full-wave bridge rectifier, silicon-controlled rectifier (SCR).
- Transistor: 3-terminal enhancement n-MOSFET.
- Analog IC: 3-terminal opamp.
- Indicators: voltmeter, ammeter.
- Miscellaneous: buck converter, boost converter, buck-boost converter.
- Instruments: oscilloscope, function generator.

Reference Data

- Load power $P_d = 10$ W to $100$ kW.
- Load resistance $R_d = 1$ Ω to $100$ kΩ.
- Supply frequency $f = 50$ Hz.
6.2. Single-Phase Half-Wave Rectifiers

Exercise 1.1. Simple M1 rectifier

1. To begin with, draw and build the schematic using the only 4 components: the ac voltage source, a diode, a resistor, and the ground:
   
   - connect the positive pin of the voltage source to the diode anode and ground its negative pin,
   - then connect the load between the diode cathode and ground.

2. Add an oscilloscope and connect it to view the load voltage $U_d$.

3. Activate the circuit simulation and view result. Tune the oscilloscope settings either before or during simulation.

4. To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope. Tune the oscilloscope and view both signals: the load voltage and the current.

Exercise 1.2. M1 rectifier with resistive load

1. Calculate the required average load voltage $U_d$ and load current $I_d$ using the Ohm's low.

2. Calculate the required rms supply voltage as follows:
   
   $$U_s = \frac{\pi U_d}{\sqrt{2}}.$$

3. Calculate the peak inverse voltage, $PIV$ (also called reverse breakdown voltage, $BV$) of the diode as follows:
   
   $$PIV = \pi U_d.$$

4. In the circuit of Exercise 1.1, assign values $U_s$, $f$, $R_d$ and select the diode model from the library.

5. To measure average voltage $U_d$ and current $I_d$, add a dc voltmeter across the load and a dc ammeter in series with the load. Also, connect the oscilloscope to view the load voltage $U_d$.

6. To view the diode inverse voltage, add the voltage-controlled voltage source across the diode and connect it to the other channel of the oscilloscope.

7. After activating the circuit, tune the oscilloscope and view both signals: load voltage and diode inverse voltage.

Exercise 1.3. M1 rectifier with LC filter

1. In the circuit of Exercise 1.2, measure the peak-to-peak ripple voltage $U_r$ and calculate the ripple factor of the output waveform as follows:
   
   $$r = \frac{U_r}{2U_d}.$$

2. Calculate the power factor of the circuit as follows:
   
   $$\cos \varphi = \frac{P_d}{S} = \frac{2\sqrt{2}}{\pi}.$$
3. To measure the power factor experimentally, add an ac ammeter in series with the diode and ac voltmeter across the ac voltage source. After activating the circuit, measure $U_s$, $I_s$, $U_d$, $I_d$, and calculate $\cos \varphi$ with $S = U_s I_s$ and $P_d = U_d I_d$.

4. For tenfold lowering of the ripple factor ($r_C = r / 10$), add an LC filter built on a capacitor across the load resistor and a reactor in series with the diode and calculate filter parameters ($H \cdot \mu F$) as follows:

$$LC = 10 \cdot \left(\frac{r}{r_C} + 1\right)$$

5. After activating the circuit, measure $U_s$, $I_s$, $U_d$, $I_d$, and calculate the new value of the power factor. Measure the maximum $U_{d\text{max}}$ and minimum $U_{d\text{min}}$ rectified voltages and their average value $U_d$ and calculate the actual ripple and power factors as follows:

$$r_C = \frac{(U_{d\text{max}} - U_{d\text{min}})}{(2U_d)}$$

$$\cos \varphi = \frac{P_d}{S}$$

Exercise 1.4. M1 rectifier with inductive load

1. Build an M1 rectifier circuit with the ac voltage source, a diode, a resistor, and the ground. Place the oscillator and connect it to view the load voltage and load current. Also, add the indicators to measure the load voltage and current. Assign the reference values and select the diode model as in previous exercises.

2. Build an inductive load by adding an inductor $L = 50$ to $500$ mH to the load circuit.

3. After activating the circuit, view, explain, and document the result.

4. To compensate the inductance, add the same LC filter as in Exercise 1.3 and fine-tune the circuit.

Exercise 1.5. M1 thyristor rectifier

1. Build a schematic using an ac voltage source, a thyristor (SCR), a resistor, the pulse voltage source, and the ground:
   - connect the positive pin of the voltage source to the thyristor anode and ground its negative pin,
   - then connect the load between the thyristor cathode and ground
   - connect the pulse voltage source to the thyristor gate and ground it.

2. Place the oscillator and connect it to view the load voltage and current. Also, add the indicators to measure the load voltage and current.

3. Calculate the required rms supply voltage $U_s$ and assign the values of $U_s$, $f$, $R_d$ and the pulse voltage source’s Period $T = 1 / f$.

4. After activating the circuit, view the signals. Use the pulse voltage source as a firing regulator by changing its Delay time. To reduce the firing angle, set delay near zero. To enlarge the angle, raise the delay up to $T / 2$.

5. Change the firing angle from 0 to 180 degrees, measure the load voltage, write it into a table, and build the control curve that is the diagram of the load voltage versus firing angle. Explain and document the result.
6.3. Single-Phase Full-Wave Rectifiers

Exercise 2.1. Simple M2 rectifier

1. Build a schematic using the function generator, 2 diodes, a resistor, and the ground:
   - connect the positive and negative terminals of the function generator to the diodes’ anodes,
   - join the cathodes and the load input together,
   - ground the load output and the common terminal of the function generator.

2. Add an oscilloscope and connect it for viewing the load voltage $U_d$. To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope. To measure the average voltage $U_d$ and current $I_d$, add a dc voltmeter across the load and a dc ammeter in series with the load.

3. Calculate the required average load voltage $U_d$ and load current $I_d$ using the Ohm’s low.

4. Calculate the rms supply voltage of each half-winding as follows:
   $$U_s = \frac{\pi U_d}{2\sqrt{2}}.$$

5. Assign the reference values: $U_s$, $f$, $R_d$.

6. Activate the circuit simulation, measure the load voltage and current, and view both signals: voltage and current. Tune the oscilloscope settings either before or during simulation.

Exercise 2.2. M2 rectifier with LC filter

1. Calculate the peak inverse voltage of the diode as follows:
   $$PIV = \pi U_d.$$
   In practice, this value will be some lower due to the diode direct voltage drop $U_A$.

2. Measure the peak-to-peak ripple voltage $U_r$ and calculate the ripple factor of the output waveform as follows:
   $$r = \frac{U_r}{2U_d}.$$

3. For tenfold lowering of the ripple factor ($r_C = r / 10$), add an LC filter built on a capacitor across the load resistor and a reactor in series with the diode and calculate filter parameters (H·µF) as follows:
   $$LC > 2.5 \left( \frac{r}{r_C} + 1 \right).$$

4. After activating the circuit, measure the maximum $U_{d_{\text{max}}}$ and minimum $U_{d_{\text{min}}}$ rectified voltages and its average value $U_d$ and calculate the actual ripple factor as follows:
   $$r_C = \frac{U_{d_{\text{max}}} - U_{d_{\text{min}}}}{2U_d}.$$
Exercise 2.3. M2 thyristor rectifier

1. Build the same schematic as in Exercise 2.1 using thyristors instead of diodes.

2. Build a simple gate driver using a pulse voltage source. Connect the pulse voltage source between the thyristors’ gates and ground. Set its Period = \(1 / (2f)\) and Pulsed value near 2 V.

3. After activating the circuit, view the signals. Use the pulse voltage source as a firing regulator by changing its Delay time. To reduce the firing angle, step down the delay and raise it to enlarge the angle.

4. After activating the circuit, view, explain, and document the result. Then change the load resistance from 10 to 1000 Ω and build the load curve that is the diagram of the load voltage versus the load current.

5. Build an inductive load by adding an inductor with \(L = 100\) to 1000 mH in series with the load resistor.

6. After activating the circuit, view, explain, and document the result. Again, change the load resistance and build the new load curve.

Exercise 2.4. Simple B2 bridge rectifier

1. Build a schematic using the ac voltage source, 4 diodes \(D_1, D_2, D_1',\) and \(D_2',\) a resistor, the pulse voltage source, and the ground:
   - connect the positive pin of the voltage source to the \(D_1\) anode and \(D_2'\) cathode,
   - connect the negative pin of the source to the \(D_2\) anode and \(D_1'\) cathode,
   - connect the load resistor between the joint cathodes of \(D_1\) and \(D_2\),
   - joint the anodes of \(D_1\) and \(D_2'.\)

2. Calculate the required average load voltage \(U_d\) and load current \(I_d\) using the Ohm’s low.

3. Calculate the required rms supply voltage as follows:
   \[U_s = \frac{\pi U_d}{2\sqrt{2}}.\]

4. Assign the reference values: \(U_s, f, R_d.\)

5. Add an oscilloscope and connect it to view the load voltage \(U_d.\) For viewing the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope also.

6. To measure the average voltage \(U_d\) and current \(I_d,\) add a dc voltmeter across the load and a dc ammeter in series with the load in the previous circuit.

7. Activate the circuit simulation, tune the oscilloscope, and view the load voltage and the load current.

Exercise 2.5. B2 rectifier with LC filter

1. To measure the rms voltage \(U_s\) and current \(I_s,\) add an ac voltmeter across the voltage source and an ac ammeter in series with the voltage source.
2. Measure the peak-to-peak ripple voltage $U_r$ and calculate the ripple factor of the output waveform as follows:

$$r = U_r / (2U_d)$$

3. Calculate the theoretical power factor of the circuit as follows:

$$\cos \varphi = (2\sqrt{2}) / \pi$$

4. After activating the circuit, measure $U_s, I_s, U_d, I_d$, and calculate the experimental power factor $P_d / S$. Compare the calculated and experimental results.

5. For tenfold lowering the ripple factor ($r_c = r / 10$), add an LC filter built on a capacitor across the load resistor and a reactor in series with the diode and calculate filter parameters (H·μF) as follows:

$$LC = 2.5 \left( r / r_c + 1 \right)$$

6. After activating the circuit, measure $U_s, I_s, U_d, I_d$, and calculate the new power factor:

$$\cos \varphi = U_d I_d / (U_s I_s)$$

7. Measure the maximum $U_{dmax}$ and minimum $U_{dmin}$ rectified voltages and calculate the actual ripple factor as follows:

$$r_c = (U_{dmax} - U_{dmin}) / (2U_d)$$

Exercise 2.6. B2 rectifier with inductive load

1. Build the same B2 rectifier circuit as in Exercise 2.5.

2. Build an inductive load by adding a variable inductor $L = 50$ to $500$ mH in series with the load resistor.

3. After activating the circuit, view, explain, and document the result. Measure the peak-to-peak ripple voltage $U_r$ and the phase shift between the load voltage and current. Build the diagram of the ripple voltage versus an inductance.

4. To compensate the phase shift, add a capacitor across the inductor and find experimentally the capacitance for tenfold lowering the ripple factor.

Exercise 2.7. B2 thyristor rectifier

1. Build a schematic using the ac voltage source, 4 thyristors $D_1, D_2, D_1', D_2'$, a resistor, the pulse voltage source, and the ground:
   - connect the positive pin of the voltage source to the $D_1$ anode and $D_2'$ cathode,
   - connect the negative pin of the source to the $D_2$ anode and $D_1'$ cathode,
   - connect the load resistor between the joint cathodes of $D_1$ and $D_2$ and the ground,
   - joint the anodes of $D_1$ and $D_2'$.

2. Add an oscilloscope and connect it to view the load voltage $U_d$. To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope also.
3. To measure the average voltage $U_d$ and current $I_d$, add a dc voltmeter across the load and a dc ammeter in series with the load.

4. Calculate the required average load voltage $U_d$ and load current $I_d$ using the Ohm’s law. Calculate the required rms supply voltage and assign the reference values: $U_s$, $f$, $R_d$.

5. Build the gate driver using the pulse voltage source, which Period is $1/(2f)$ and Pulse width 1 to 5 ms. Connect its positive pin to the SCR gates and ground the negative pin.

6. After activating the circuit, view the signals and measure the load voltage and current. Use the pulse voltage sources as firing regulators by changing its Delay time.

6.4. Three-Phase Rectifiers

Exercise 3.1. Simple M3 rectifier

1. Build a schematic using firstly 3 ac voltage sources $U$, $V$, and $W$, 3 diodes, a resistor, and the ground:
   - ground the negative poles of the sources,
   - connect the load resistor between the joint diodes’ cathodes and the ground.

2. Add an oscilloscope and connect it to view the load voltage $U_d$.

3. To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope.

4. To measure the average voltage $U_d$ and current $I_d$, add a dc voltmeter across the load and a dc ammeter in series with the load.

5. Calculate the required average load voltage $U_d$ and load current $I_d$ using the Ohm’s low.

6. Calculate the required rms supply voltage as follows:

$$U_s = 2\pi U_d / (3\sqrt{2}\sqrt{3}).$$

7. Assign the reference values: $U_s$, $f$, $R_d$. Set the necessary phase shifts of each voltage source: 0 degrees for $U$, 240 degrees for $V$, and 120 degrees for $W$.

8. Activate the circuit simulation, tune the oscilloscope settings, and view result.

Exercise 3.2. M3 thyristor rectifier

1. Build the same circuit as in Exercise 3.1 using 3 thyristors $D_U$, $D_V$, and $D_W$ instead of diodes.

2. Build a gate driver using the pulse voltage source between the thyristors’ gates and the ground. Set the pulse voltage source’s Period $1/(3f)$ and Pulse width 1 to 3 ms.

3. After activating the circuit, view the signals and measure the load voltage and the current. Use the pulse voltage sources as firing regulator by changing its Delay time.

Exercise 3.3. Simple B6 rectifier

1. Build the schematic firstly using 3 ac voltage sources, 6 diodes, and a resistor. Join the negative poles of the three voltage sources $U$, $V$, and $W$ and connect the positive pin of each source to the corresponding pair of diodes:
• source \( U \) to the \( D_U \) anode and \( D_{U'} \) cathode,
• source \( V \) to the \( D_V \) anode and \( D_{V'} \) cathode,
• source \( W \) to the \( D_W \) anode and \( D_{W'} \) cathode.

Connect the load resistor between the joint cathodes of \( D_U \), \( D_V \), and \( D_W \) and the ground. Also, ground the joint anodes of \( D_U', D_V', \) and \( D_W' \).

2. Add an oscilloscope and connect it to view the load voltage \( U_d \).
3. To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope.
4. To measure average voltage \( U_d \) and current \( I_d \), add a dc voltmeter across the load and a dc ammeter in series with the load.
5. Calculate the required average load voltage \( U_d \) and load current \( I_d \) using the Ohm’s low.
6. Calculate the required rms supply voltage as follows:
\[
U_s = \pi U_d / (3\sqrt{2}\sqrt{3}).
\]
7. Assign the reference values: \( U_s, f, R_d \). Set the necessary phase shifts of each voltage source: 0 degrees for \( U \), 120 degrees for \( V \), and 240 degrees for \( W \).
8. Activate the circuit simulation and view result. Tune the oscilloscope settings either before or during simulation.

Exercise 3.4. B6 thyristor rectifier

1. Build the same circuit as in Exercise 3.3 using 6 thyristors instead of diodes.
2. Build a gate driver using the pulse voltage source between the thyristors’ gates and ground. Set the pulse voltage source \( \text{Period} 1 / (3f) \) and \( \text{Pulse width} 1 \) to 3 ms
3. After activating the circuit, view the signals and measure the load voltage and current.

6.5. AC Converters

Exercise 4.1. Single-phase voltage regulators

1. Build a schematic using the ac voltage source, the voltage-controlled switch, a load resistor, the pulse voltage source, and the ground:
   • connect the positive pin of the voltage source to the voltage-controlled switch and ground its negative pin,
   • then connect the load between the voltage-controlled switch and the ground,
   • finally, insert the pulse voltage source between the positive controlling terminal of the voltage-controlled switch and the ground.
2. Assign the values \( U_s, f, R_d \) in accordance with the reference data. In the pulse voltage source set \( \text{Period} = 1 / (2f) \) and \( \text{Pulse width} < \text{Period} \).
3. Add an oscilloscope and connect it for viewing the load voltage \( U_d \). To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope. To measure the rms load voltage \( U_d \) and current \( I_d \), add an ac voltmeter across the load and an ac ammeter in series with the load.
4. After activating the circuit, view the signals and measure the load voltage and current. Use the pulse voltage source Delay time and Pulse width options as switching regulation options by simultaneous changing of their values as follows:

\[ \text{Period} = \text{Delay time} + \text{Pulse width}. \]

5. By changing these options, build the diagram of the load rms voltage versus delay.

6. Replace the voltage-controlled switch with a pair of thyristors connected back-to-back. Build the own gate driver for each thyristor, placing pulse voltage sources with \( \text{Period} = 1 / (2f) \) between the gate and the cathode.

7. After activating the circuit, view the signals and measure the load voltage and current. Again, build the diagram of the load voltage versus the firing angle. Then, set the firing angle to 45 degrees, vary the load resistance from 1 \( \Omega \) to 1 k\( \Omega \), and build the load curve (load rms voltage versus current) of the system.

**Exercise 4.2. Single-Phase Bridge Inverters**

1. Build the schematic using the dc voltage source, 4 voltage-controlled switches \( S_1, S_2, S_1', \) and \( S_2' \), the pulse voltage source, a resistor, and the ground:
   - connect the positive pin of the dc voltage source to \( S_1 \) and \( S_2' \) upper terminals,
   - connect the negative pin of the dc voltage source to the \( S_2 \) and \( S_1' \) lower terminals,
   - connect the load resistor between the joint \( S_1 \) and \( S_2 \) terminals and the joint \( S_1' \) and \( S_2' \) terminals, and ground them.

2. Add an oscilloscope and connect it to view the load voltage \( U_d \). To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope also. To measure the rms voltage \( U_d \) and current \( I_d \), add an ac voltmeter across the load and an ac ammeter in series with the load. Assign the reference values: \( U_s, f, R_d \). Set the on-state resistance of the switch to the minimum allowable value.

3. Build a gate driver using 2 pulse voltage sources:
   - connect the positive terminal of the first pulse voltage source to the positive controlling terminals of the voltage-controlled switches \( S_1, S_1' \),
   - connect the positive terminal of the second voltage source to the positive controlling terminals of the voltage-controlled switches \( S_2, S_2' \),

4. In both pulse voltage sources, set \( \text{Period} = 1 / f \) and \( \text{Pulse width} < \text{Period} / 2 \). In the second pulse voltage source, set \( \text{Delay time} = \text{Period} / 2 \).

5. After activating the circuit, view the ac signals and measure the ac load voltage and current. Change the load frequency by simultaneously varying \( \text{Period} \) option of both pulse voltage sources and \( \text{Delay time} \) of the second pulse voltage source. Change the load voltage by simultaneous varying the \( \text{Pulse width} \) option of both pulse voltage sources. Build the diagram of the load rms voltage versus the duty cycle:

\[ \text{Duty cycle} = 2 \text{Pulse width} / \text{Period}. \]

6. Replace the voltage-controlled switches with 3-terminal enhancement n-MOSFETs. After activating the circuit, view the ac signals and measure the ac load voltage and current.
Build a diagram of the load voltage versus the duty cycle. Then, set the duty cycle to 50%, vary the load resistance from 10 Ω to 10 kΩ, and build the load curve of the MOSFET system.

**Exercise 4.3. Voltage source and current source inverters**

1. To build a voltage source inverter with ideal switches, use the same schematic as in Exercise 4.2 without the gate driver. Connect an oscilloscope, an ac voltmeter, and an ammeter.

2. Build a new gate driver using the function generator, a comparator, and the ground:
   - set the function generator options as follows: square *Waveform*, *Frequency* 50 Hz, *Duty Cycle* 50%, *Amplitude* 1 to 10 V, *Offset* 0,
   - connect the function generator positive terminal to the positive controlling terminals of the voltage-controlled switches \( S_1 \), \( S_1' \) and the comparator’s negative input,
   - ground the function generator common terminal, negative controlling terminals of each switch, and the comparator's positive input,
   - connect the opamp output to the positive controlling terminals of the voltage-controlled switches \( S_2 \), \( S_2' \).

3. After activating the circuit, view the signals and measure the load voltage and current. Change the load frequency by varying the function generator *Frequency*. Change the load voltage by varying the function generator *Duty Cycle* option. Build the diagram of the load voltage versus the duty cycle.

4. Replace the voltage-controlled switches with 3-terminal enhancement n-MOSFETs. After activating the circuit, view the signals and measure the load voltage and the current. Build the diagram of the load voltage versus the duty cycle. Then, set the duty cycle to 50%, vary the load resistance from 10 Ω to 10 kΩ, and build the load curve of the MOSFET system.

5. To build a current source inverter, replace the dc voltage source with a dc current source and repeat the experiment. Change the load current by varying the function generator *Duty Cycle* option. Build the diagram of the load current versus the duty cycle.

**Exercise 4.4. Frequency converters**

1. Build the same voltage source inverter with ideal switches as in Exercise 4.3.

2. Replace the dc voltage source with the simple bridge rectifier of Exercise 2.4:
   - connect the full-wave bridge rectifier inputs to the ac voltage source,
   - connect the rectifier outputs to \( S_1 \) and \( S_2' \) upper terminals and \( S_2 \) and \( S_1' \) lower terminals,
   - ground the negative terminal of the voltage source.

3. Assign the reference values: \( U_s \), \( f \), \( R_d \). Set the function generator options as follows: rectangle *Waveform*, *Frequency* <50 Hz, *Duty Cycle* 50%, *Amplitude* 1 to 10 V, *Offset* 0.
4. After activating the circuit, view the signals and measure the load voltage and the current. Change the load frequency by varying the function generator Frequency. Change the load voltage by varying the voltage source Voltage.

5. Replace the voltage-controlled switches with 3-terminal enhancement n-MOSFETs. After activating the circuit, view the signals and measure the load voltage and current. Set Duty Cycle to 50%, vary the load resistance from 10 Ω to 10 kΩ, and build the load curve of the MOSFET system.

6. Insert a little inductive filter in series with the load. Tune the circuit, view the signals, and measure the load voltage and current.

6.6. Choppers

Exercise 5.1. Step-down choppers

1. Build the schematic using the dc voltage source, the voltage-controlled switch, a load resistor, a capacitor, an inductor, a diode, and the ground:
   - connect the positive pin of the dc voltage source to the voltage-controlled switch and ground its negative pin,
   - connect the inductor and cathode of the flywheel diode to other terminal of the switch and ground the diode anode,
   - connect the capacitor and the load to other terminal of the inductor and ground them also.

2. Calculate the required load voltage \( U_d \) and load current \( I_d \) using the Ohm’s low. Assign the referenced value of \( R_d \) and \( U_s = 2U_d \).

3. Add an oscilloscope and connect it to view the load voltage \( U_d \). To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope. To measure the load voltage \( U_d \) and current \( I_d \), add a dc voltmeter across the load and the dc ammeter in series with the load.

4. Build a gate driver, the Frequency value of which is near 5 kHz.

5. After activating the circuit, view the signals and measure the load voltage and the current. Find the filter properties for tenfold lowering the rms current and voltage. Use the gate driver duty cycle as a switching regulator by changing its value from 0 to 100%. To reduce the load voltage, set the minimum value; to step up the voltage, raise the value. Build the diagram of the load voltage versus the duty cycle.

6. Replace the voltage-controlled switch with the 3-terminal enhancement n-MOSFET. After activating the circuit, view the signals and measure the load voltage and the current. Build the diagram of the load voltage versus the duty cycle. Then, set duty cycle to 50%, vary the load resistance from 10 Ω to 10 kΩ, and build the load curve of the MOSFET system.
Exercise 5.2. Closed-loop buck chopper

1. Build the schematic using the dc voltage source $S_1$, the buck converter, a load resistor, and the ground:
   - connect the buck converter between the positive pin of the dc voltage source and the load resistor,
   - ground the negative pin of the dc voltage source and the load.

2. Calculate the required load voltage $U_d$ and the load current $I_d$ using the Ohm's low. Assign the referenced value of $R_d$ and $U_s = 2U_d$.

3. Add an oscilloscope and connect it to view the load voltage $U_d$. To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope. To measure the load voltage $U_d$ and current $I_d$, add a dc voltmeter across the load and a dc ammeter in series with the load.

4. Build a gate driver using the dc voltage source $S_2$, which Voltage value is near 0.5 V. Connect its positive pin to the control terminal of the buck converter and ground the negative pin.

5. After activating the circuit, view the signals and measure the load voltage and the current. Then, vary the load resistance from 10 $\Omega$ to 10 M$\Omega$ and build the load curve that is the diagram of the load voltage versus load current.

6. To stabilize the load voltage, rebuild the gate driver and arrange the negative close loop:
   - set the dc voltage source $S_2$ Voltage value to $U_d$,
   - connect the positive pin of the dc voltage source $S_2$ to the control terminal of the buck converter and the negative pin to the load.

7. After activating the circuit, measure the load voltage and the current. Again, vary the load resistance from 10 $\Omega$ to 10 k$\Omega$ and build the load curve of the closed loop system.

Exercise 5.3. Step-up choppers

1. Build the schematic using the dc voltage source, the voltage-controlled switch, a load resistor, a diode, a capacitor, an inductor, and the ground:
   - connect the positive pin of the dc voltage source to the inductor and ground its negative pin,
   - connect the voltage-controlled switch and the diode anode to other terminal of the inductor and ground the switch,
   - then connect the capacitor and the load to the diode cathode and ground them also.

2. Assign the values $U_s$ and $R_d$ in accordance with the reference data. Set the on-state resistance of the switch to minimum allowable value.

3. Add the oscilloscope, the current-controlled voltage source, an ac voltmeter, and an ammeter as in Exercise 5.1.

4. Build the same gate driver as in Exercise 5.1.
5. After activating the circuit, view the signals and measure the load voltage and current.
Find the filter properties for tenfold lowering of the rms current and voltage. Use the gate
driver’s duty cycle for changing the load voltage. Build the diagram of the load voltage
versus the duty cycle.

6. Replace the voltage-controlled switch with the 3-terminal enhancement n-MOSFET. Set
the clock Voltage value significantly more than $U_s$. After activating the circuit, view the
signals and measure the load voltage and current. Build the diagram of the load voltage
versus the duty cycle. Then, set the duty cycle to 50%, vary the load resistance from 10 $\Omega$
to 10 k$\Omega$, and build the load curve of the MOSFET system.

Exercise 5.4. Closed-Loop Boost Chopper

1. Build a schematic using the dc voltage source $S_1$, the boost converter, a load resistor,
and the ground:
   - connect the boost converter between the positive pin of the dc voltage source
     and the load resistor,
   - ground the negative pin of the dc voltage source and the load.

2. Calculate the required load voltage $U_d$ and the load current $I_d$ using the Ohm’s low.
Assign the referenced value of $R_d$ and $U_s = U_d / 2$.

3. Add an oscilloscope and connect it to view the load voltage $U_d$. To viewing the load
current, add the current-controlled voltage source in series with the load resistor and
connect it to the oscilloscope. To measure the load voltage $U_d$ and current $I_d$, add a dc
voltmeter across the load and a dc ammeter in series with the load.

4. Build a gate driver using the dc voltage source $S_2$, the Voltage value of which is near
0.5 V. Connect its positive pin to the control terminal of the boost converter and ground
the negative pin.

5. After activating the circuit, view the signals and measure the load voltage and current.
Then, change the load resistance from 10 $\Omega$ to 10 M$\Omega$ and build the load curve that is the
diagram of the load voltage versus the load current.

6. To stabilize the load voltage, rebuild the gate driver and arrange the negative feedback:
   - set the Voltage value of the dc voltage source $S_2$ to $U_d$,
   - connect the positive pin of the dc voltage source $S_2$ to the control terminal of the
     boost converter and the negative pin to the load.

7. After activating the circuit, measure the load voltage and current. Again, change the load
resistance from 10 $\Omega$ to 10 k$\Omega$ and build the load curve of the closed loop system.

Exercise 5.5. Step-down and step-up choppers

1. Build the schematic using the dc voltage source, the voltage-controlled switch, a load
resistor, a diode, a capacitor, an inductor, and the ground:
   - connect the positive pin of the dc voltage source to the voltage-controlled switch
     and ground its negative pin,
• connect the inductor and the diode cathode to other terminal of the voltage-controlled switch and ground the inductor,
• then connect the capacitor and the load to the diode anode and ground them also.

2. Assign the values \( U_s \) and \( R_d \) in accordance with the reference data. Set the on-state resistance of the switch to the minimum allowable value.

3. Add an oscilloscope, the current-controlled voltage source, an ac voltmeter, and an ammeter as in Exercise 5.1.

4. Build the same gate driver as in Exercise 5.1.

5. After activating the circuit, view the signals and measure the load voltage and the current. Find the filter properties for tenfold lowering the rms current and voltage. Use the gate driver’s duty cycle for changing the load voltage. Build the diagram of the load voltage versus the duty cycle.

6. Replace the voltage-controlled switch with the 3-terminal enhancement n-MOSFET. Set the clock Voltage value significantly higher than \( U_s \). After activating the circuit, view the signals and measure the load voltage and the current. Build the diagram of the load voltage versus the duty cycle. Then, set the duty cycle to 50%, vary the load resistance from 10 \( \Omega \) to 10 k\( \Omega \), and build the load curve of the MOSFET system.

Exercise 5.6. Closed-loop buck-boost chopper

1. Build the schematic using the dc voltage source \( S_1 \), the buck-boost converter, a load resistor, and the ground:
   • connect the buck-boost converter between the positive pin of the dc voltage source and the load resistor,
   • ground the negative pin of the dc voltage source and the load.

2. Calculate the required load voltage \( U_d \) and load current \( I_d \) using the Ohm’s low. Assign the referenced value of \( R_d \) and \( U_s = U_d \).

3. Add an oscilloscope and connect it to view the load voltage \( U_d \). To view the load current, add the current-controlled voltage source in series with the load resistor and connect it to the oscilloscope. To measure the load voltage \( U_d \) and current \( I_d \), add a dc voltmeter across the load and a dc ammeter in series with the load.

4. Build a gate driver using the dc voltage source \( S_2 \), the Voltage value of which is near 0.5 V. Connect its negative pin to the control terminal of the boost converter and ground the positive pin.

5. After activating the circuit, view the signals and measure the load voltage and current. Then, change the load resistance from 50 \( \Omega \) to 50 k\( \Omega \) and build the load curve that is the diagram of the load voltage versus the load current.

6. To stabilize the load voltage, rebuild the gate driver and arrange the negative feedback:
   • set the Voltage value of the dc voltage source \( S_2 \) to \( U_d \),
   • connect the positive pin of the dc voltage source \( S_2 \) to the control terminal of the boost converter and the negative pin to the load.
7. After activating the circuit, measure the load voltage and the current. Again, change the load resistance from 10 Ω to 10 kΩ and build the load curve of the closed loop system.

**Exercise 5.7. Cuk choppers**

1. Build the schematic using the dc voltage source, the voltage-controlled switch, a load resistor, a diode, a capacitor, 2 inductors, and the ground:
   - connect the positive pin of the dc voltage source to the first inductor and ground its negative pin,
   - connect the voltage-controlled switch and the capacitor to the other terminal of the inductor and ground the switch,
   - then connect the diode anode and the second inductor to other terminal of the capacitor and ground the diode cathode,
   - finally, connect the load to the other terminal of the second inductor and ground it also.

2. Assign the values $U_s$ and $R_d$ in accordance with the reference data. Set the on-state resistance of the switch to the minimum allowable value.

3. Add an oscilloscope, the current-controlled voltage source, an ac voltmeter, and an ammeter as in Exercise 5.1.

4. Build the same gate driver as in Exercise 5.1.

5. After activating the circuit, view the signals and measure the load voltage and the current. Find the filter properties for tenfold lowering the rms current and the voltage. Use the gate driver’s duty cycle for changing the load voltage. Build the diagram of the load voltage versus the duty cycle.

6. Replace the voltage-controlled switch with the 3-terminal enhancement n-MOSFET. Set the clock Voltage value significantly higher than $U_s$. After activating the circuit, view the signals and measure the load voltage and the current. Build the diagram of the load voltage versus the duty cycle. Then, set the duty cycle to 50%, vary the load resistance from 10 Ω to 10 kΩ, and build the load curve of the MOSFET system.
7.0. Test on Power Electronic Devices

1. If $V_{\text{peak-to-peak}} \sin = 100$, then what is $V_{\text{rms}}$?
2. If $V_{\text{max}} \sin = 100$, then what is $V_{\text{rms}}$?
3. If $V_{\text{peak-to-peak}} \sin = 100$, then what is $V_d$?
4. If $V_{\text{rms}} = 10$, then what is $V_{\text{max}} \sin$?
5. If $V_{\text{rms}} = 10$, then what is $V_{\text{peak-to-peak}} \sin$?
6. If $V_d = 10$, then what is $V_{\text{max}} \sin$?
7. If $V_d = 10$, then what is $V_{\text{peak-to-peak}} \sin$?
8. In a step-down transformer, which voltage is higher: primary or secondary?
9. Line voltage may vary from 100 to 130 V rms. Calculate the peak value for low-line voltage and high-line voltage.
10. A transformer has a turns ratio of 4:1. What is the peak secondary voltage if 110 V rms is applied to the primary windings?
11. A transformer has a primary voltage of 125 V rms and a secondary voltage of 25 V rms. If the secondary current is 1 A rms, what is the primary current?
12. What kind of device is a diode: linear or nonlinear?
13. How is a non-conducting diode biasing?
14. When a diode voltage drop is small, what property is it: good, bad or normal?
15. When a diode current is large, how is the diode biased?
16. What kind of a voltage is referred to as the knee voltage of a diode?
17. What is the leakage current of a diode as compared to a forward current?
18. What will the diode graph above the knee look like?
19. If a diode has a voltage of 0.5 V and a current of 50 mA, what is the diode power (W)?
20. Two diodes are in series. The first diode has a voltage of 0.4 V and the second has a voltage of 0.8 V. If the current through the first diode is 0.5 A, what is the current (A) through the second diode?
21. What processes are considered the most common operations for diodes?
22. How many external pins does a thyristor have?
23. Will a thyristor enter conduction if it exceeding the forward breakover voltage?
24. What kind of processes can a thyristor be involved in?
25. What is the minimum input current that can turn on a thyristor called?
26. What is the minimum input current that can turn off a thyristor called?
27. What are the phenomena resulting from the rising of thyristor direct voltage?
28. How can you stop a rectifier thyristor that is conducting?
29. What does the diac consist of?
30. What does the triac operation principle assume?
31. What is referred to as the two-operation thyristor?
32. Why are the GTO of great interest?
33. Where is a GTO widely applied?
7.1. Test on Rectifiers

1. How many diodes does a single-phase half-wave rectifier have?
2. How many diodes does a single-phase full-wave rectifier have?
3. How many diodes does a three-phase full-wave rectifier have?
4. How many diodes does a single-phase bridge rectifier have?
5. How many diodes does a three-phase bridge rectifier have?
6. With a single-phase half-wave rectified voltage across the load resistor, what part of the cycle does the load current flow?
7. With a single-phase full-wave rectified voltage across the load resistor, what part of the cycle does the load current flow?
8. With a single-phase full-wave rectified voltage across the load with infinite inductance, what part of the cycle does the load current flow?
9. With a single-phase full-wave rectified voltage across the load resistor, what part of the cycle does the load current flow?
10. With a single-phase bridge rectified voltage across the load resistor, what part of the cycle does the load current flow?
11. With a three-phase full-wave rectified voltage across the load resistor, what part of the cycle does the load current flow?
12. With a three-phase bridge rectified voltage across the load resistor, what part of the cycle does the load current flow?
13. The average dc voltage of a three-phase full-wave rectifier is 120 V. What is the input ac voltage rms?
14. The average dc voltage of a single-phase half-wave rectifier is 40 V. What is the input ac voltage rms?
15. The average dc voltage of a single-phase bridge rectifier is 90 V. What is the input ac voltage rms?
16. The average dc voltage of a three-phase bridge rectifier is 40 V. What is the input ac voltage rms?
17. What is the average value of the dc output voltage of a single-phase half-wave rectified voltage of 200 V peak value?
18. If a 120 V rms voltage is rectified by a single-phase half-wave circuit, which is the dc output voltage?
19. What is the dc voltage obtained from a single-phase full-wave rectifier if the peak ac input is 170 V?
20. What part of the full wave does the voltage take out of a single-phase bridge rectifier?
21. What is the peak load voltage in a single-phase full-wave rectifier if the supply voltage is 20 V rms?
22. What is an approximate rms value of the supply voltage of a single-phase bridge rectifier with a peak load voltage of 40 V?
23. With a single-phase full-wave rectified voltage across the load resistor, what part of the cycle does the load current flow?
24. A three-phase full-wave rectifier has an ac voltage input of 120 V rms. What is the average dc voltage output?
25. If the line frequency is 50 Hz, what is the output frequency of a single-phase half-wave rectifier?
26. What is the output frequency of a three-phase bridge rectifier if the line frequency is 50 Hz?
27. With the same supply voltage of a single-phase half-wave rectifier and a full-wave rectifier, which of them has more ripples?
28. With the same supply voltage of a single-phase bridge rectifier and a full-wave rectifier, which of them has more ripples?
29. With the same supply voltage of a single-phase bridge rectifier and a half-wave rectifier, which of them has more ripples?
30. With the same supply voltage of a single-phase half-wave rectifier and a full-wave rectifier, which of them produces lower load voltage?
31. Which power is the highest: active, reactive, or apparent?
32. Which pick voltage is the highest: inverse or rectified?

7.2. Test on Inverters

1. What is the difference between the construction and operation of a rectifier and an inverter?
2. What makes it possible to invert a dc current to an ac signal?
3. What is the cause of operating conditions in inverters?
4. What is the difference between the apparatus used in a half-bridge inverter and that used in a full-bridge device?
5. What semiconductor devices are most widely used in inverters?
6. What does a half-bridge inverter consist of?
7. What are the advantages of a single-phase bridge inverter?
8. Under what kind of control is the highest output power of the inverter carried out?
9. Which inverter is called a line-fed inverter?
10. Which inverter is called an autonomous inverter?
11. Does the controlled rectifier serve as an online or offline inverter?
12. How is a rectifier used to achieve an inverter mode?
13. What is a basic difference between the line-fed and an autonomous inverters?
14. What is the primary difference between VSI and CSI?
15. Which process is called PWM?
16. What are the basic parts of a PWM system?
17. With a modulating frequency and a carrier frequency of PWM, which one is higher?
18. What is the maximum modulation index of a linear PWM system?
19. What is the difference between a unipolar and a bipolar voltage-switching PWM?
20. What kind of a dependence exists between the firing angle $\alpha$ and the angle of advance $\beta$?
21. What kind of a dependence exists between the commutation angle $\gamma$ and the angle of advance $\beta$?
22. What is a blanking time of VSI?
23. Under which load inductance will the output current flow continuously?
24. Under which load inductance will the output current be interruptible?
25. How should be the value of an inverter output frequency to reduce audible noise?
26. What should be the value of an inverter output frequency to reduce inverter losses?
27. Why is a device called a six-step inverter?
28. Why does VSI need full controlled devices?
29. What are the advantages of the square-wave inverters?
30. What are the disadvantages of the square-wave inverters?
31. What are the advantages of the PWM inverters?
32. What are the disadvantages of the PWM inverters?
33. Why do inverters have the tendency to use the highest output frequency?
34. How many legs does the three-phase inverter consist of?
35. What kind of an inverter has a large inductor connected in series to the supply source and the capacitor across the output?
36. What kind of an inverter has the large capacitor connected across to the supply source and the inductor in series the output?
37. What are some applications of CSI?
38. How does a CSI built on GTO differ from that built on SCR?
39. What are some of advantages of resonant inverters?
40. Why is it desirable for a load to operate with a resonant inverter?

7.3. Test on AC/AC Converters

1. What are the most common applications of frequency converters?
2. What is obtained as a result of frequency converting?
3. What does a voltage regulator change?
4. How does a pair of SCR achieve conductance in ac circuit?
5. How does the parallel-connected thyristors assemble a three-phase voltage regulator?
6. What does the term a direct ac converter mean?
7. What kinds of inverters are referred to as cycloconverters?
8. What is the most obvious use of the cycloconverter?
9. For a single-phase cycloconverter, what kind of dependence exists between the fundamental output frequency and the input frequency?
10. Why are the thyristors closed themselves in cycloconverters?
11. How many thyristors are required for the six-pulse cycloconverter with the three-phase output?
12. What are the application fields of cycloconverters?
13. What converter is called a matrix converter?
14. What is the basic difference between the cycloconverters and matrix converters?
15. Why does a matrix converter need full controlled devices?
16. Why is a device called a dc link converter?
17. What does the dc link converter consist of?
18. What are the advantages of a dc link converter with VSI?
19. What are the disadvantages of the dc link converters with VSI?
20. What is the function of the dc link capacitor in an ac converter?
21. Which part limits the startup current in the dc link converter?
22. What is the function of the feedback diodes in the dc link converter?
23. Why are the line chokes used in a dc link converter?
24. What are the advantages of dc link frequency converters with CSI?
25. What are the drawbacks of dc link frequency converters with CSI?

7.4. Test on DC/DC Converters

1. What are the most common applications of DC/DC converters?
2. What does a DC/DC converter change?
3. What is the difference between the linear and switching dc converters?
4. What parameter is called as a load regulation?
5. What does the chopper load curve look like?
6. What does a discontinuous current mean?
7. What is the role of a controller in the system with the load regulation?
8. What is the reason of load variations?
9. What is a difference between feedback and feedforward?
10. What is the sensing voltage element of a series voltage regulator?
11. How the ripple rejected In the series voltage regulator?
12. Which pass devices can be used within a series voltage regulator?
13. What are the main elements of a shunt voltage regulator?
14. What are the main elements of a compound voltage regulator?
15. What are the advantages of the linear voltage regulators?
16. What are the drawbacks of the linear voltage regulators?
17. What is a switching DC/DC converter called?
18. What are the advantages of choppers?
19. What are the drawbacks of choppers?
20. What does a chopper consist of?
21. What is the duty cycle equal to?
22. What is the duty cycle if the period is 1 s and \( T_{on} \) is 0.6 s?
23. What is the duty cycle if the period is 1 s and \( T_{off} \) is 0.6 s?
24. What is the role of input and output filters in choppers?
25. What is the method to reverse the current flow of a chopper’s load?
26. What is a difference between a step-down and a step-up chopper?
27. What is the output voltage of the step-down chopper if the input voltage is 100 V and the duty cycle is 0.6?
28. What is the output voltage of the step-up chopper if the input voltage is 100 V and duty cycle is 0.6?
29. What is the output voltage of the step-down and step-up chopper if the input voltage is 100 V and duty cycle is 0.6?
30. What is a step-up chopper called?
31. What two periods can the flyback operation be broken to?
32. What is a difference between the buck and boost regulators?
33. What converter is called step-down and step-up chopper?
34. What converter is called a buck-boost regulator?
35. What converter is called a Cuk regulator?
7.5. Test on Utility Circuits

1. What do the commutation transients result in?
2. What are the sources of overvoltages?
3. What are the sources of overcurrents?
4. What is the function of a snubber circuit?
5. When are unpolarized series $\text{RC}$ snubbers used?
6. When are polarized series $\text{RC}$ snubbers used?
7. When are polarized series $\text{LR}$ snubbers used?
8. What is the function of a voltage clamp?
9. What is a role of snubbers in power diode circuits?
10. What is a role of snubbers in thyristor circuits?
11. Which process is called a forced commutation?
12. What is the purpose of the thyristor commutation circuits?
13. What is the role of snubbers in transistor circuits?
14. What is the purpose of the turn-off transistor snubber?
15. What is the purpose of the turn-on transistor snubber?
16. What is the purpose of the overvoltage transistor snubber?
17. Which devices are called soft clamps?
18. What is the primary goal of the gate and base drivers?
19. What is the control logic circuit intended for?
20. What is the drive circuit intended for?
21. What is the thyristor pulse distribution system intended for?
22. What is the most popular method providing the transistor control logic?
23. What is the most effective method providing the transistor control logic?
24. What is the simplest driver circuit?
25. What are the drawbacks of direct-coupled drivers?
26. When is electromagnetic emission produced?
27. When is the mains said to be under electrical distortion?
28. What is electrical distortion due to?
29. What kind of distortion do the switching converters produce?
30. Does the supply line change its properties under external influences?
31. Which phenomenon is called an electromagnetic interference?
32. What is the frequency band of radio frequency interference?
33. What are the two main classes of EMI sources?
34. What does electromagnetic compatibility refer to?
35. What methods may the harmonic currents reduce?
36. What is the difference between residential and industrial standards?
37. Which features do the generic and product specific standards distinguish?
38. Which organizations have developed the standards?
### Index

| AC/AC converter, 49 | driver circuit, 79 |
| amplified Zener, 61 | dual rectifier, 14 |
| angle of advance, 36 | dual rectifier with circulating current, 14 |
| autonomous inverter, 31 | dual three-phase rectifier, 25 |
| bipolar junction transistor, 10 | efficiency, 12 |
| bipolar voltage-switching PWM, 38 | electromagnetic compatibility, 85 |
| blanking time, 37 | electromagnetic interference, 84 |
| block control, 33 | EMI filter, 86 |
| boost regulator, 72 | EMI receptor, 85 |
| bridge, 12 | EMI source, 85 |
| buck regulator, 68 | EMI victim, 85 |
| buck-boost regulator, 73 | extinction angle, 36 |
| bypass diode, 17 | feedback, 60 |
| carrier ratio, 33 | feedforward, 60 |
| carrier signal, 33 | firing angle, 9 |
| catch diode, 17 | flyback converter, 70 |
| center-tapped transformer, 19 | flyback diode, 17 |
| changer, 51 | forced-commutated CSI, 43 |
| choke filter, 87 | forward chopper, 64 |
| chopper, 63 | four-quadrant naturally commutated |
| circulating current, 25 | cycloconverter, 53 |
| circulating-current-free dual rectifier, 14 | four-quadrant operation, 14 |
| closing circuitry, 76 | freewheeling diode, 17 |
| commutation circuit, 76 | frequency converter, 51 |
| commutation interval, 19 | full-wave signal, 19 |
| commutation process, 20 | gate and base driver, 79 |
| commutation thyristor, 76 | gate turn-off thyristor, 9 |
| commutation transient, 75 | half-wave signal, 17 |
| compound voltage regulator, 62 | insulated gate bipolar transistor, 11 |
| continuous conduction, 17 | interference, 84 |
| continuous current, 15 | inversion, 22 |
| control curve, 18 | inverting regulator, 73 |
| controlled rectifier, 12 | joint coordinated control system, 26 |
| controller, 60 | joint non-coordinated control system, 27 |
| converter, 7 | junction field-effect transistor, 10 |
| converter with impressed direct current | line-fed inverter, 32 |
| supply, 59 | load, 13 |
| coupling path, 85 | load curve, 15 |
| Cuk regulator, 73 | load effect, 60 |
| current feedback, 43 | load regulation, 60 |
| current source inverter, 32 | logic circuit, 79 |
| cycloconverter, 51 | loose running, 68 |
| dc link converter, 49 | matrix converter, 55 |
| diac, 9 | metal-oxide semiconductor field-effect |
| diode, 8 | transistor, 10 |
| direct frequency converter, 49 | midpoint rectifier, 19 |
| direct-coupled driver, 83 | midpoint three-phase inverter, 35 |
| discontinuous current, 15 | modulating signal, 33 |
| discontinuous current boundary, 15 | modulation, 33 |
| driver, 69 | modulation index, 33 |
MOS clock driver, 83
MOS-controlled thyristor, 10
 offline inverter, 31
online inverter, 32
output characteristic, 15
overlap, 19
overmodulation, 34
peak inverse voltage, 13
percentage of ripple, 13
period, 13
phase displacement angle, 13
power electronic system, 7
power factor, 13
primary winding, 12
pulsating dc, 13
pulse control, 33
pulse width modulation, 33
push-pull converter, 71
PWM converter, 57
radio frequency interference, 84
rectification, 12
rectifier, 12
regulation curve, 14
resistive load, 13
resistive-capacitive load, 13
resistive-inductive load, 13
resonant inverter, 46
resonant-switching inverter, 47
ripple, 13
ripple factor, 13
ripple rejection, 61
secondary winding, 12
semi-controlled bridge, 23
sensing circuit, 68
separate control system, 27
series voltage regulator, 60
shunt voltage regulator, 61
single-phase ac voltage regulator, 49
single-phase bridge rectifier, 21
single-phase full-bridge VSI, 37
single-phase full-wave rectifier, 19
single-phase half-wave rectifier, 16
single-quadrant operation, 14
six-step control, 33
snubber circuit, 75
soft clamp, 78
square-wave control, 33
step-down regulator, 68
step-down transformer, 13
step-up regulator, 72
switching dc converter, 63
switching loss, 75	hree-phase bridge rectifier, 27
three-phase bridge VSI, 39
three-phase three-diode rectifier, 23
thyristor, 9
transformer, 12
transformer-isolated forward converter, 68
triac, 9
turns ratio, 13	two-quadrant operation, 14
uncontrolled rectifier, 12
vectorial PWM, 82
t voltage clamp, 75
voltage regulator, 49, 60
voltage source inverter, 32
voltage stiff inverter, 32
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